

# Lecture 38.

## Classical analogs for quantum resonance

(Ch. 4-6 of Unit 3 5.1.12)

*Matrix-operator spectral decomposition, eigenvectors, and eigenvalues*

*Review of Lecture 37*

*Spectral decomposition of 2D-HO and mixed mode dynamics (B-Symmetric case)*

*Algebraic approach*

*Geometric phasor view*

*Spectral decomposition of 2D-HO and mixed mode dynamics (Asymmetric case)*

*Algebraic approach*

*Mode trajectories*

*2-State Schrodinger quantum analogy with classical 2D-HO*

*ABCD Symmetry operator analysis and  $U(2)$  spinors*

*Lecture 38 ends here*

*ABCD Time evolution operator and  $U(2) \sim R(3)$  spin spaces*

Matrix-operator spectral decomposition, eigenvectors, and eigenvalues Example matrix  $\mathbf{M} = \begin{pmatrix} 4 & 1 \\ 3 & 2 \end{pmatrix}$

$$\mathbf{p}_j \mathbf{p}_k = \mathbf{p}_j \prod_{m \neq k} (\mathbf{M} - \epsilon_m \mathbf{1}) = \prod_{m \neq k} (\mathbf{p}_j \mathbf{M} - \epsilon_m \mathbf{p}_j \mathbf{1})$$

Multiplication properties of  $\mathbf{p}_j$ :

$$\mathbf{p}_j \mathbf{p}_k = \prod_{m \neq k} (\epsilon_j \mathbf{p}_j - \epsilon_m \mathbf{p}_j) = \mathbf{p}_j \prod_{m \neq k} (\epsilon_j - \epsilon_m) = \begin{cases} \mathbf{0} & \text{if } j \neq k \\ \mathbf{p}_k \prod_{m \neq k} (\epsilon_k - \epsilon_m) & \text{if } j = k \end{cases}$$

Last step is to make *Idempotent Projectors*:  $\mathbf{P}_k = \frac{\mathbf{p}_k}{\prod_{m \neq k} (\epsilon_k - \epsilon_m)} = \frac{\prod_{m \neq k} (\mathbf{M} - \epsilon_m \mathbf{1})}{\prod_{m \neq k} (\epsilon_k - \epsilon_m)}$

$$\mathbf{P}_j \mathbf{P}_k = \begin{cases} \mathbf{0} & \text{if } j \neq k \\ \mathbf{P}_k & \text{if } j = k \end{cases} \quad \mathbf{M} \mathbf{P}_k = \epsilon_k \mathbf{P}_k$$

They're *Ortho-Normal* and satisfy *Completeness Relation*  $\mathbf{1} = \mathbf{P}_1 + \mathbf{P}_2 + \dots + \mathbf{P}_n$

Eigen-operators  $\mathbf{M} \mathbf{P}_k = \epsilon_k \mathbf{P}_k$  then give *Spectral Decomposition* of operator  $\mathbf{M}$

$$\mathbf{M} = \mathbf{M} \mathbf{P}_1 + \mathbf{M} \mathbf{P}_2 + \dots + \mathbf{M} \mathbf{P}_n = \epsilon_1 \mathbf{P}_1 + \epsilon_2 \mathbf{P}_2 + \dots + \epsilon_n \mathbf{P}_n$$

...and *Functional Spectral Decomposition* of any function  $f(\mathbf{M})$  of  $\mathbf{M}$

$$f(\mathbf{M}) = f(\epsilon_1) \mathbf{P}_1 + f(\epsilon_2) \mathbf{P}_2 + \dots + f(\epsilon_n) \mathbf{P}_n$$

Lecture 37 ended here

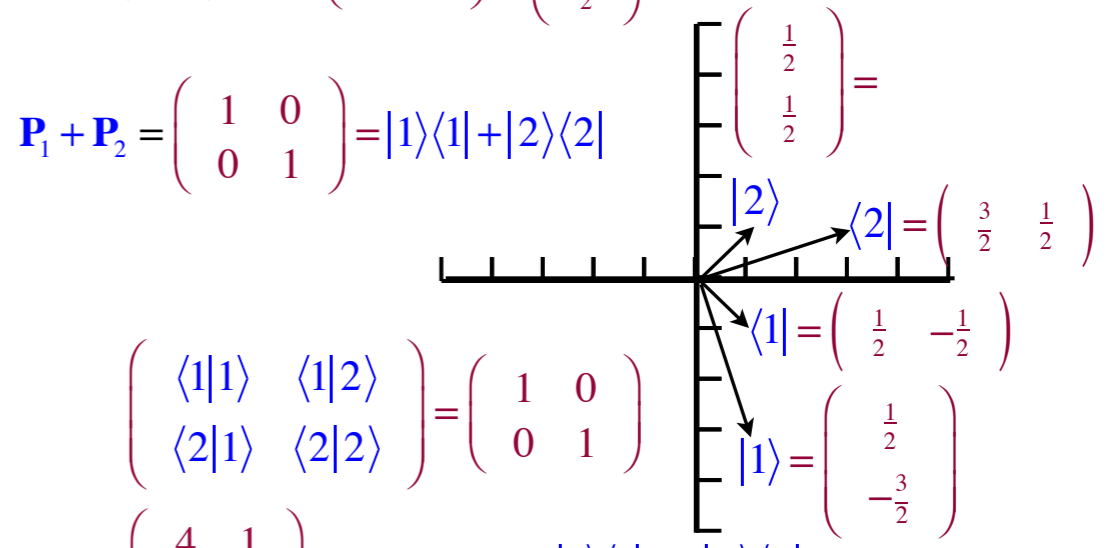
$$\mathbf{p}_1 = (\mathbf{M} - 5 \cdot \mathbf{1}) = \begin{pmatrix} -1 & 1 \\ 3 & -3 \end{pmatrix} \quad \mathbf{p}_1 \mathbf{p}_2 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$

$$\mathbf{p}_2 = (\mathbf{M} - 1 \cdot \mathbf{1}) = \begin{pmatrix} 3 & 1 \\ 3 & 1 \end{pmatrix}$$

Factoring bra-kets into "Ket-Bras:

$$\mathbf{P}_1 = \frac{(\mathbf{M} - 5 \cdot \mathbf{1})}{(1 - 5)} = \frac{1}{4} \begin{pmatrix} 1 & -1 \\ -3 & 3 \end{pmatrix} = \begin{pmatrix} \frac{1}{2} \\ -\frac{3}{2} \end{pmatrix} \otimes \begin{pmatrix} \frac{1}{2} & -\frac{1}{2} \end{pmatrix} = |1\rangle \langle 1|$$

$$\mathbf{P}_2 = \frac{(\mathbf{M} - 1 \cdot \mathbf{1})}{(5 - 1)} = \frac{1}{4} \begin{pmatrix} 3 & 1 \\ 3 & 1 \end{pmatrix} = \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix} \otimes \begin{pmatrix} \frac{3}{2} & \frac{1}{2} \end{pmatrix} = |2\rangle \langle 2|$$



$$\mathbf{P}_1 + \mathbf{P}_2 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = |1\rangle \langle 1| + |2\rangle \langle 2|$$

$$\begin{pmatrix} \langle 1|1\rangle & \langle 1|2\rangle \\ \langle 2|1\rangle & \langle 2|2\rangle \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

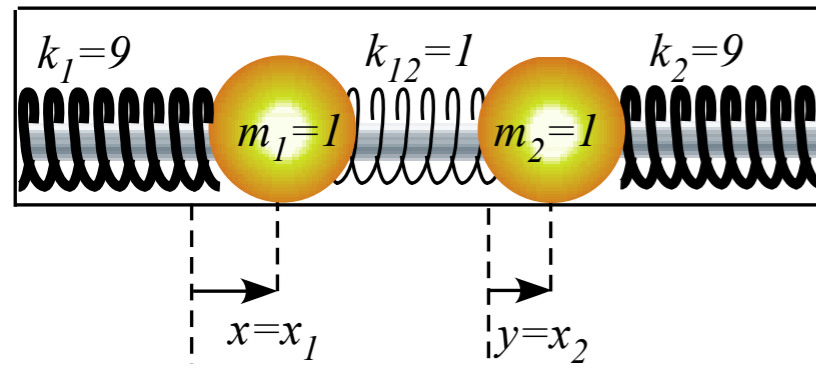
$$\mathbf{M} = \begin{pmatrix} 4 & 1 \\ 3 & 2 \end{pmatrix} = 1 \mathbf{P}_1 + 5 \mathbf{P}_2 = 1 |1\rangle \langle 1| + 5 |2\rangle \langle 2|$$

$$= 1 \begin{pmatrix} \frac{1}{4} & -\frac{1}{4} \\ -\frac{3}{4} & \frac{3}{4} \end{pmatrix} + 5 \begin{pmatrix} \frac{3}{4} & \frac{1}{4} \\ \frac{3}{4} & \frac{1}{4} \end{pmatrix}$$

Examples with  $\mathbf{M}^{50} = \begin{pmatrix} 4 & 1 \\ 3 & 2 \end{pmatrix} = 1^{50} \begin{pmatrix} \frac{1}{4} & -\frac{1}{4} \\ -\frac{3}{4} & \frac{3}{4} \end{pmatrix} + 5^{50} \begin{pmatrix} \frac{3}{4} & \frac{1}{4} \\ \frac{3}{4} & \frac{1}{4} \end{pmatrix}$

$$\sqrt{\mathbf{M}} = \begin{pmatrix} 4 & 1 \\ 3 & 2 \end{pmatrix} = \pm \sqrt{1} \begin{pmatrix} \frac{1}{4} & -\frac{1}{4} \\ -\frac{3}{4} & \frac{3}{4} \end{pmatrix} \pm \sqrt{5} \begin{pmatrix} \frac{3}{4} & \frac{1}{4} \\ \frac{3}{4} & \frac{1}{4} \end{pmatrix}$$

## Spectral decomposition of 2D-HO mode dynamics



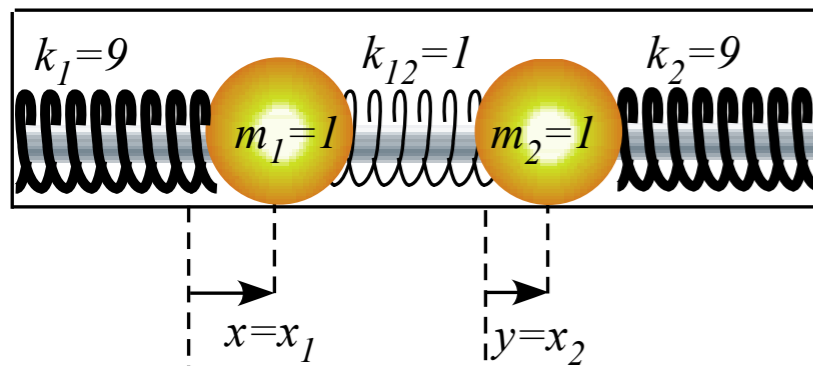
$$\mathbf{K} = \begin{pmatrix} K_{11} & K_{12} \\ K_{12} & K_{22} \end{pmatrix} = \begin{pmatrix} k_1 + k_{12} & -k_{12} \\ -k_{12} & k_2 + k_{12} \end{pmatrix} = \begin{pmatrix} 10 & -1 \\ -1 & 10 \end{pmatrix}$$

The  $\mathbf{K}$  secular equation  $K^2 - \text{Trace}(\mathbf{K})K + \text{Det}(\mathbf{K}) = K^2 - 20K + 99 = 0 = (K - 9)(K - 11)$

Eigenvalues  $K_k$  and squared eigenfrequencies  $\omega_0(\epsilon_k)^2$

$$K_1 = \omega_0^2(\epsilon_1) = 9, \quad K_2 = \omega_0^2(\epsilon_2) = 11,$$

## Spectral decomposition of 2D-HO mode dynamics



$$\mathbf{K} = \begin{pmatrix} K_{11} & K_{12} \\ K_{12} & K_{22} \end{pmatrix} = \begin{pmatrix} k_1 + k_{12} & -k_{12} \\ -k_{12} & k_2 + k_{12} \end{pmatrix} = \begin{pmatrix} 10 & -1 \\ -1 & 10 \end{pmatrix}$$

The  $\mathbf{K}$  secular equation  $K^2 - \text{Trace}(\mathbf{K})K + \text{Det}(\mathbf{K}) = K^2 - 20K + 99 = 0 = (K - 9)(K - 11)$

Eigenvalues  $K_k$  and squared eigenfrequencies  $\omega_0(\epsilon_k)^2$   $K_1 = \omega_0^2(\epsilon_1) = 9, \quad K_2 = \omega_0^2(\epsilon_2) = 11,$

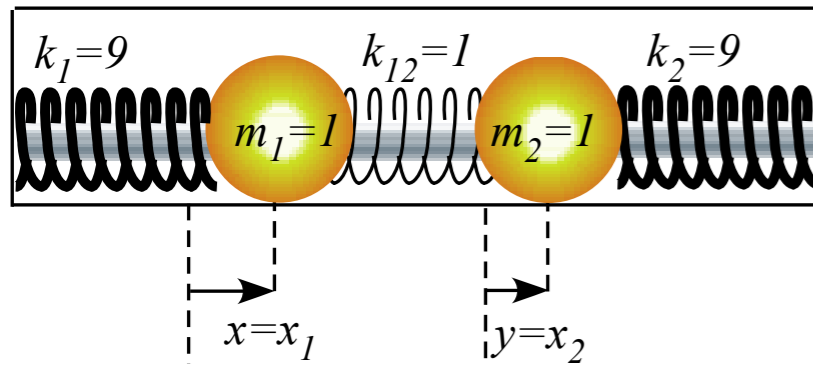
Eigen-projectors  $\mathbf{P}_k$

$$\mathbf{P}_1 = \frac{\begin{pmatrix} K_{11} - K_2 & K_{12} \\ K_{12} & K_{22} - K_2 \end{pmatrix}}{K_1 - K_2} = \frac{\begin{pmatrix} 10 - 11 & -1 \\ -1 & 10 - 11 \end{pmatrix}}{9 - 11} = \frac{\begin{pmatrix} 1 & +1 \\ +1 & 1 \end{pmatrix}}{2}$$

$$\mathbf{P}_2 = \frac{\begin{pmatrix} K_{11} - K_1 & K_{12} \\ K_{12} & K_{22} - K_1 \end{pmatrix}}{K_2 - K_1} = \frac{\begin{pmatrix} 10 - 9 & -1 \\ -1 & 10 - 9 \end{pmatrix}}{11 - 9} = \frac{\begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}}{2}$$



## Spectral decomposition of 2D-HO mode dynamics



$$\mathbf{K} = \begin{pmatrix} K_{11} & K_{12} \\ K_{12} & K_{22} \end{pmatrix} = \begin{pmatrix} k_1 + k_{12} & -k_{12} \\ -k_{12} & k_2 + k_{12} \end{pmatrix} = \begin{pmatrix} 10 & -1 \\ -1 & 10 \end{pmatrix}$$

The  $\mathbf{K}$  secular equation  $K^2 - \text{Trace}(\mathbf{K})K + \text{Det}(\mathbf{K}) = K^2 - 20K + 99 = 0 = (K - 9)(K - 11)$

Eigenvalues  $K_k$  and squared eigenfrequencies  $\omega_0(\epsilon_k)^2$   $K_1 = \omega_0^2(\epsilon_1) = 9, \quad K_2 = \omega_0^2(\epsilon_2) = 11,$

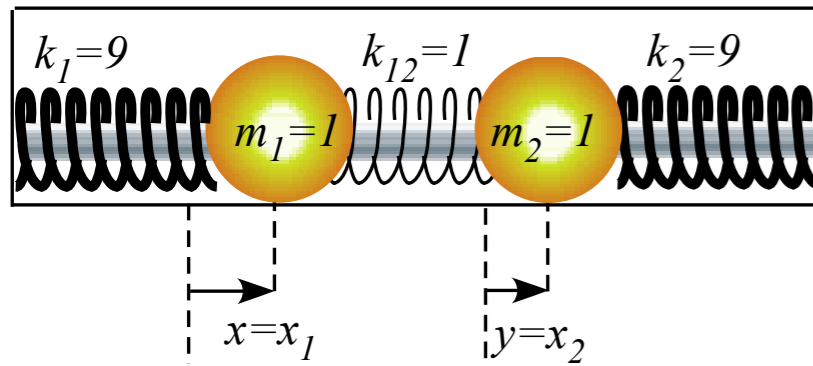
Eigen-projectors  $\mathbf{P}_k$

$$\begin{aligned} \mathbf{P}_1 &= \frac{\begin{pmatrix} K_{11} - K_2 & K_{12} \\ K_{12} & K_{22} - K_2 \end{pmatrix}}{K_1 - K_2} = \frac{\begin{pmatrix} 10 - 11 & -1 \\ -1 & 10 - 11 \end{pmatrix}}{9 - 11} = \frac{\begin{pmatrix} 1 & +1 \\ +1 & 1 \end{pmatrix}}{2} \\ &= \begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix} \otimes (1/\sqrt{2} \quad 1/\sqrt{2}) = |\epsilon_1\rangle\langle\epsilon_1| \end{aligned}$$

$$\begin{aligned} \mathbf{P}_2 &= \frac{\begin{pmatrix} K_{11} - K_1 & K_{12} \\ K_{12} & K_{22} - K_1 \end{pmatrix}}{K_2 - K_1} = \frac{\begin{pmatrix} 10 - 9 & -1 \\ -1 & 10 - 9 \end{pmatrix}}{11 - 9} = \frac{\begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}}{2} \\ &= \begin{pmatrix} 1/\sqrt{2} \\ -1/\sqrt{2} \end{pmatrix} \otimes (1/\sqrt{2} \quad -1/\sqrt{2}) = |\epsilon_2\rangle\langle\epsilon_2| \end{aligned}$$

Eigenbra vectors:  $\langle\epsilon_1| = (1/\sqrt{2} \quad +1/\sqrt{2}), \quad \langle\epsilon_2| = (1/\sqrt{2} \quad -1/\sqrt{2})$

## Spectral decomposition of 2D-HO mode dynamics



$$\mathbf{K} = \begin{pmatrix} K_{11} & K_{12} \\ K_{12} & K_{22} \end{pmatrix} = \begin{pmatrix} k_1 + k_{12} & -k_{12} \\ -k_{12} & k_2 + k_{12} \end{pmatrix} = \begin{pmatrix} 10 & -1 \\ -1 & 10 \end{pmatrix}$$

The  $\mathbf{K}$  secular equation  $K^2 - \text{Trace}(\mathbf{K})K + \text{Det}(\mathbf{K}) = K^2 - 20K + 99 = 0 = (K - 9)(K - 11)$

Eigenvalues  $K_k$  and squared eigenfrequencies  $\omega_0(\epsilon_k)^2$   $K_1 = \omega_0^2(\epsilon_1) = 9$ ,  $K_2 = \omega_0^2(\epsilon_2) = 11$ ,

Eigen-projectors  $\mathbf{P}_k$

$$\mathbf{P}_1 = \frac{\begin{pmatrix} K_{11} - K_2 & K_{12} \\ K_{12} & K_{22} - K_2 \end{pmatrix}}{K_1 - K_2} = \frac{\begin{pmatrix} 10 - 11 & -1 \\ -1 & 10 - 11 \end{pmatrix}}{9 - 11} = \frac{\begin{pmatrix} 1 & +1 \\ +1 & 1 \end{pmatrix}}{2}$$

$$= \begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix} \begin{pmatrix} 1/\sqrt{2} & 1/\sqrt{2} \end{pmatrix} = |\epsilon_1\rangle\langle\epsilon_1|$$

$$\mathbf{P}_2 = \frac{\begin{pmatrix} K_{11} - K_1 & K_{12} \\ K_{12} & K_{22} - K_1 \end{pmatrix}}{K_2 - K_1} = \frac{\begin{pmatrix} 10 - 9 & -1 \\ -1 & 10 - 9 \end{pmatrix}}{11 - 9} = \frac{\begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}}{2}$$

$$= \begin{pmatrix} 1/\sqrt{2} \\ -1/\sqrt{2} \end{pmatrix} \begin{pmatrix} 1/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} = |\epsilon_2\rangle\langle\epsilon_2|$$

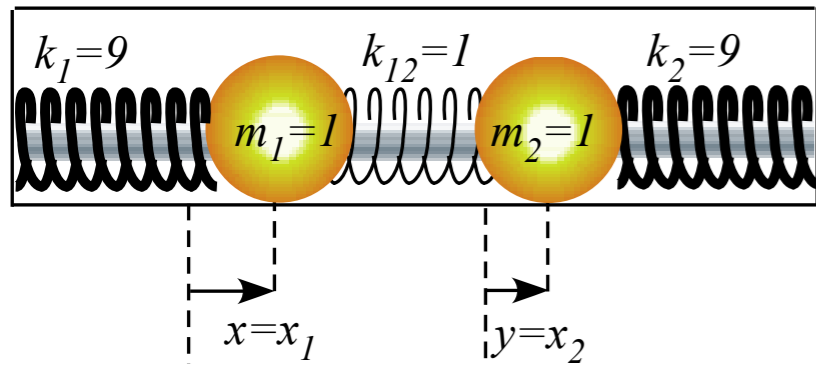
Eigenbra vectors:  $\langle\epsilon_1| = \left( 1/\sqrt{2} \quad +1/\sqrt{2} \right)$ ,  $\langle\epsilon_2| = \left( 1/\sqrt{2} \quad -1/\sqrt{2} \right)$

### Mixed mode dynamics

$$|x(t)\rangle = |\epsilon_1\rangle \langle\epsilon_1|x(0)\rangle e^{-i\omega_1 t} + |\epsilon_2\rangle \langle\epsilon_2|x(0)\rangle e^{-i\omega_2 t}$$

$$\begin{pmatrix} x_1(t) \\ x_2(t) \end{pmatrix} = \begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix} \langle\epsilon_1|x(0)\rangle e^{-i\omega_1 t} + \begin{pmatrix} -1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix} \langle\epsilon_2|x(0)\rangle e^{-i\omega_2 t}$$

# Spectral decomposition of 2D-HO mode dynamics



$$\mathbf{K} = \begin{pmatrix} K_{11} & K_{12} \\ K_{12} & K_{22} \end{pmatrix} = \begin{pmatrix} k_1 + k_{12} & -k_{12} \\ -k_{12} & k_2 + k_{12} \end{pmatrix} = \begin{pmatrix} 10 & -1 \\ -1 & 10 \end{pmatrix}$$

The  $\mathbf{K}$  secular equation  $K^2 - \text{Trace}(\mathbf{K})K + \text{Det}(\mathbf{K}) = K^2 - 20K + 99 = 0 = (K - 9)(K - 11)$

Eigenvalues  $K_k$  and squared eigenfrequencies  $\omega_0(\epsilon_k)^2$   $K_1 = \omega_0^2(\epsilon_1) = 9, \quad K_2 = \omega_0^2(\epsilon_2) = 11,$

Eigen-projectors  $\mathbf{P}_k$

$$\mathbf{P}_1 = \frac{\begin{pmatrix} K_{11} - K_2 & K_{12} \\ K_{12} & K_{22} - K_2 \end{pmatrix}}{K_1 - K_2} = \frac{\begin{pmatrix} 10 - 11 & -1 \\ -1 & 10 - 11 \end{pmatrix}}{9 - 11} = \frac{\begin{pmatrix} 1 & +1 \\ +1 & 1 \end{pmatrix}}{2} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1/\sqrt{2} & 1/\sqrt{2} \end{pmatrix} = |\epsilon_1\rangle\langle\epsilon_1|$$

$$\mathbf{P}_2 = \frac{\begin{pmatrix} K_{11} - K_1 & K_{12} \\ K_{12} & K_{22} - K_1 \end{pmatrix}}{K_2 - K_1} = \frac{\begin{pmatrix} 10 - 9 & -1 \\ -1 & 10 - 9 \end{pmatrix}}{11 - 9} = \frac{\begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}}{2} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} = |\epsilon_2\rangle\langle\epsilon_2|$$

Eigenbra vectors:  $\langle\epsilon_1| = \left( 1/\sqrt{2} \quad +1/\sqrt{2} \right), \quad \langle\epsilon_2| = \left( 1/\sqrt{2} \quad -1/\sqrt{2} \right)$

## Mixed mode dynamics

$$|x(t)\rangle = |\epsilon_1\rangle \langle\epsilon_1|x(0)\rangle e^{-i\omega_1 t} + |\epsilon_2\rangle \langle\epsilon_2|x(0)\rangle e^{-i\omega_2 t}$$

$$\begin{pmatrix} x_1(t) \\ x_2(t) \end{pmatrix} = \begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix} \langle\epsilon_1|x(0)\rangle e^{-i\omega_1 t} + \begin{pmatrix} -1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix} \langle\epsilon_2|x(0)\rangle e^{-i\omega_2 t}$$

## 100% modulation (SWR=0)

$$\begin{pmatrix} x_1(t) \\ x_2(t) \end{pmatrix} = \begin{pmatrix} \frac{e^{-i\omega_1 t} + e^{-i\omega_2 t}}{2} \\ \frac{e^{-i\omega_1 t} - e^{-i\omega_2 t}}{2} \end{pmatrix} = \frac{e^{-i(\omega_1 + \omega_2)t}}{2} \begin{pmatrix} e^{-i(\omega_1 - \omega_2)t/2} + e^{i(\omega_1 - \omega_2)t/2} \\ e^{-i(\omega_1 - \omega_2)t/2} - e^{i(\omega_1 - \omega_2)t/2} \end{pmatrix} = e^{-i(\omega_1 + \omega_2)t} \begin{pmatrix} \cos \frac{(\omega_2 - \omega_1)t}{2} \\ i \sin \frac{(\omega_2 - \omega_1)t}{2} \end{pmatrix}$$

Note the  $i$  phase

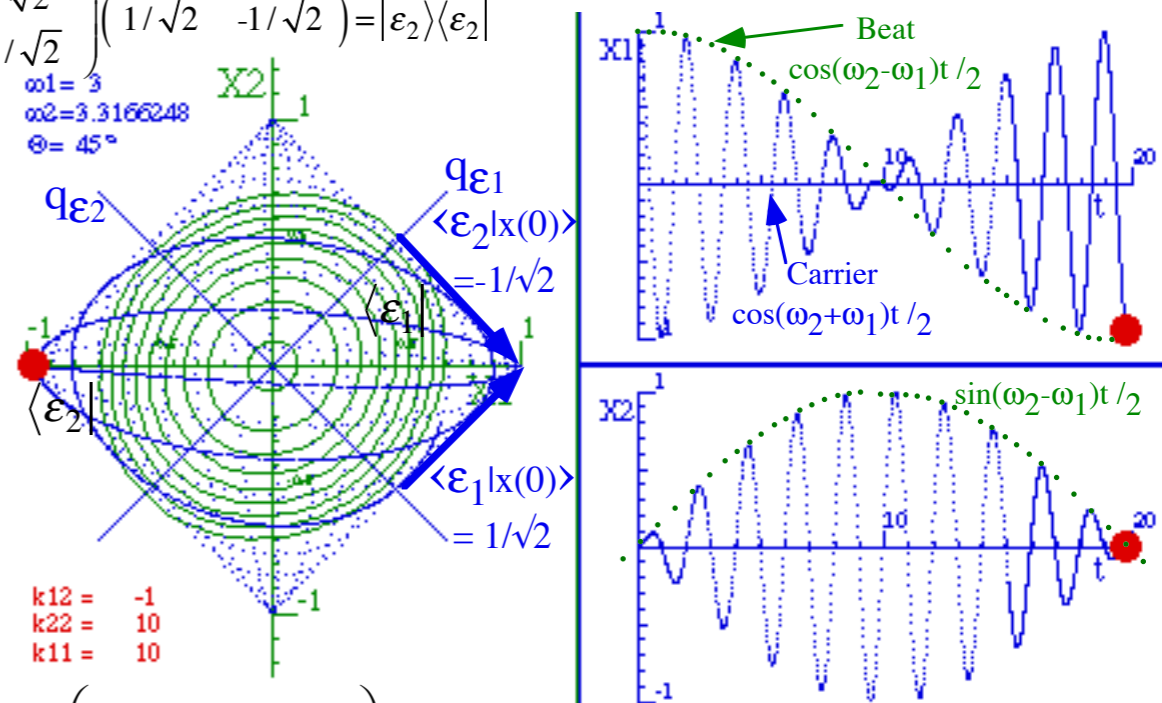
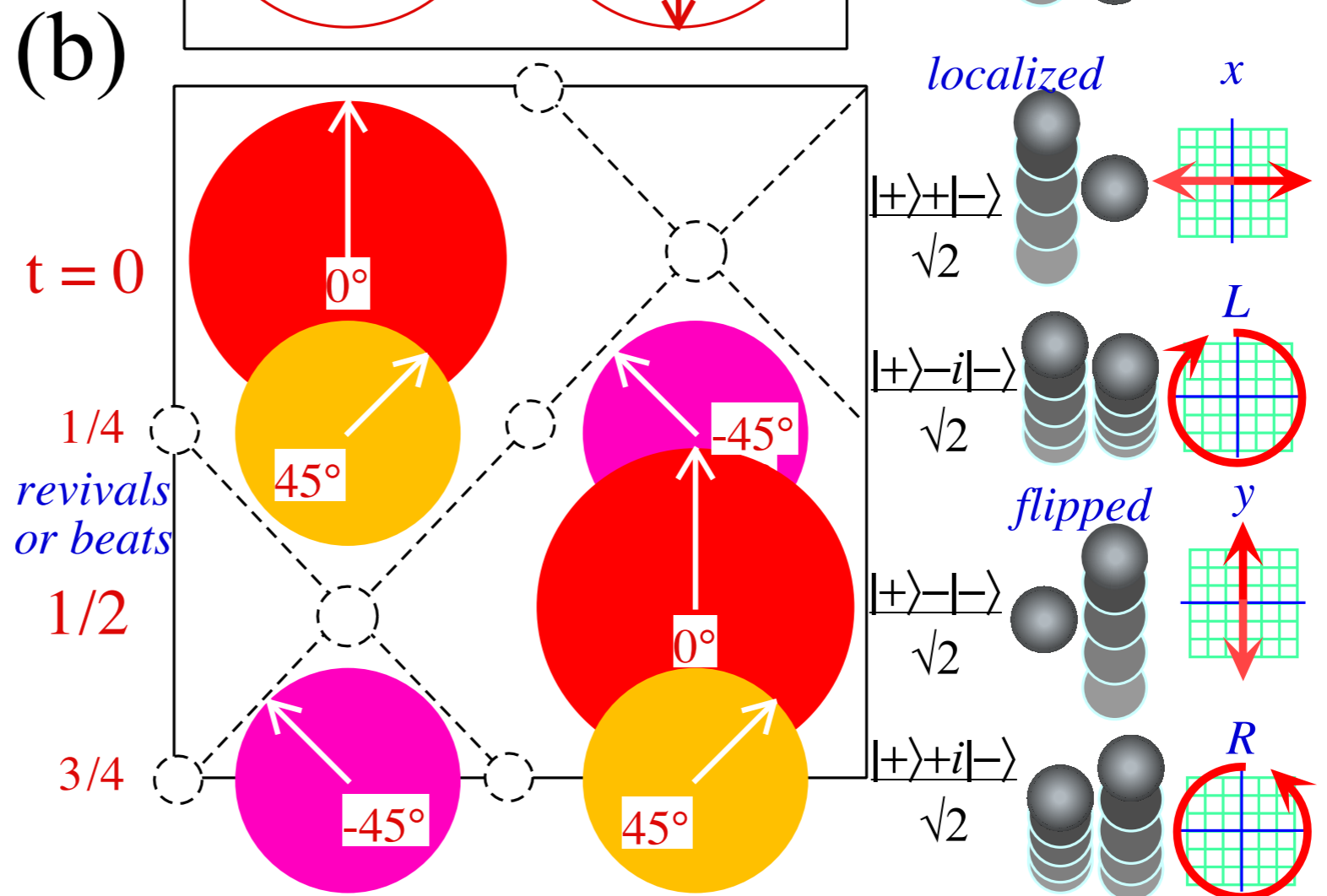
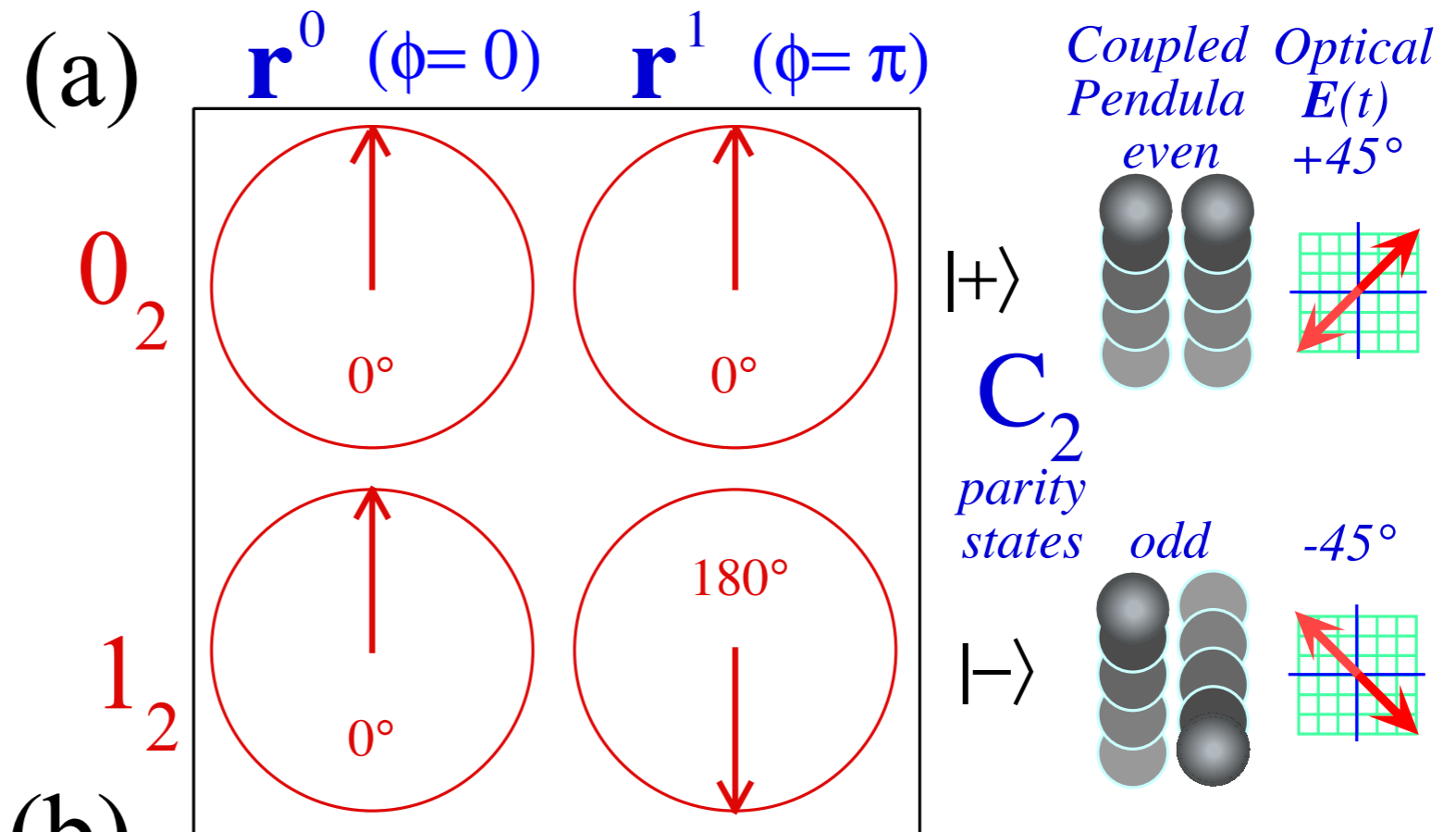
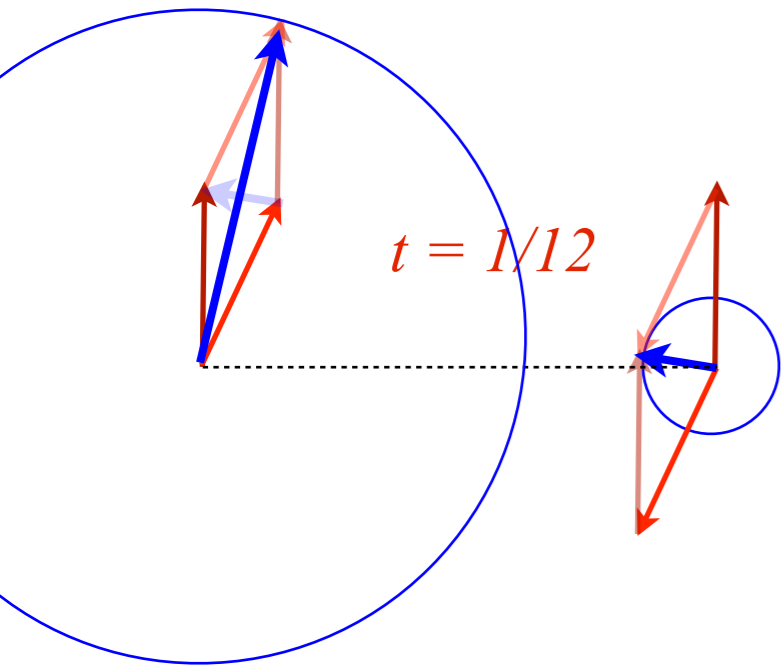
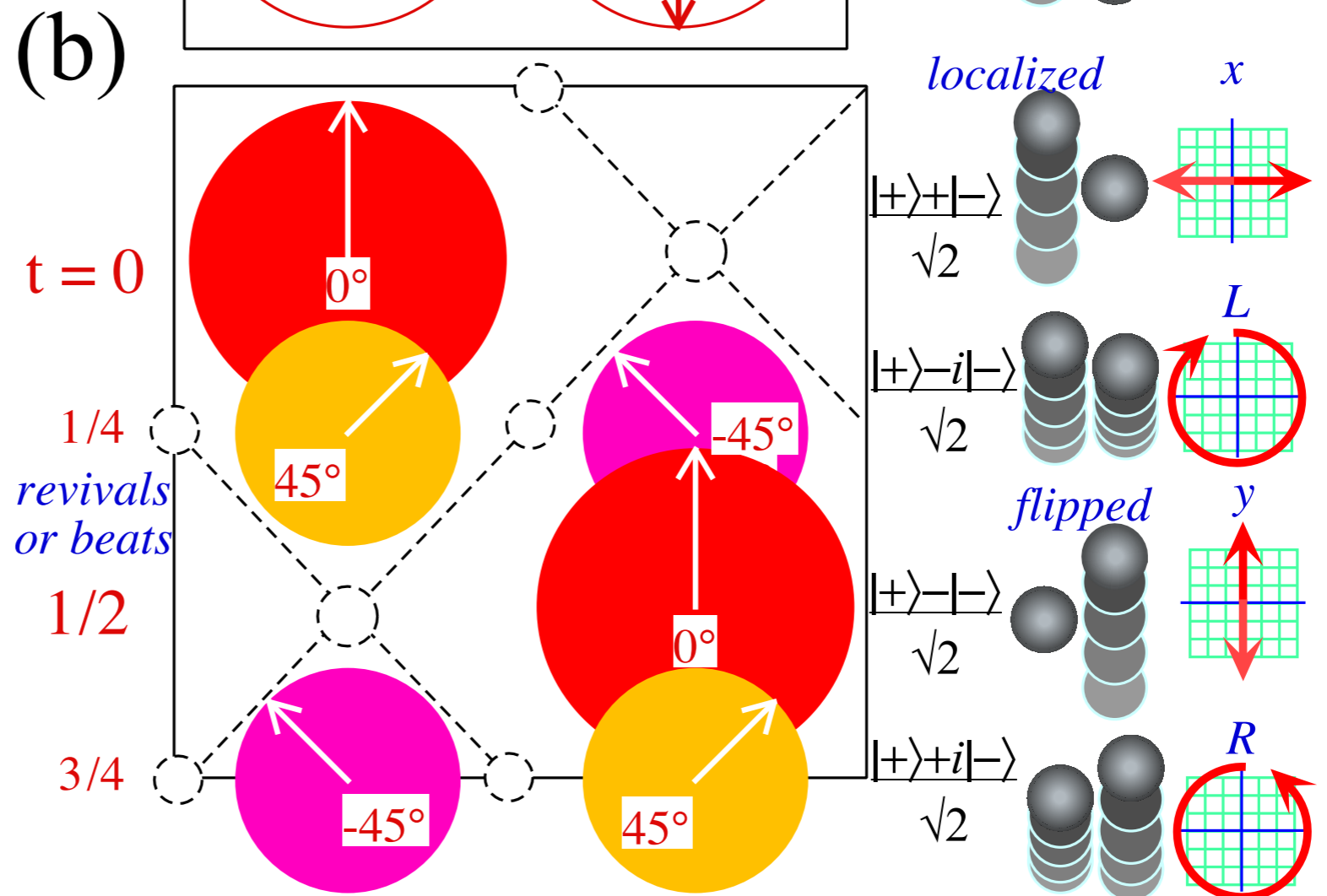
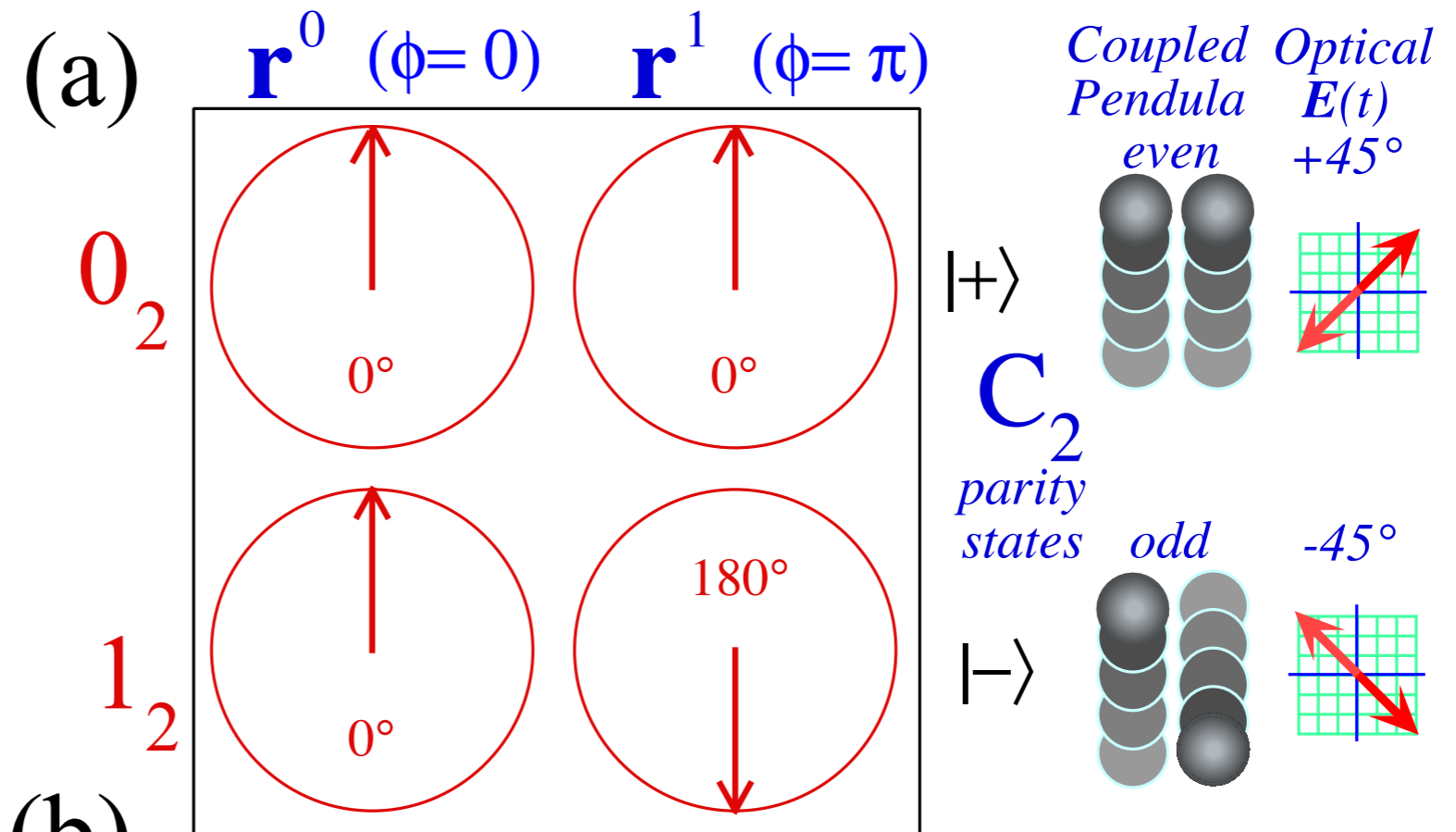
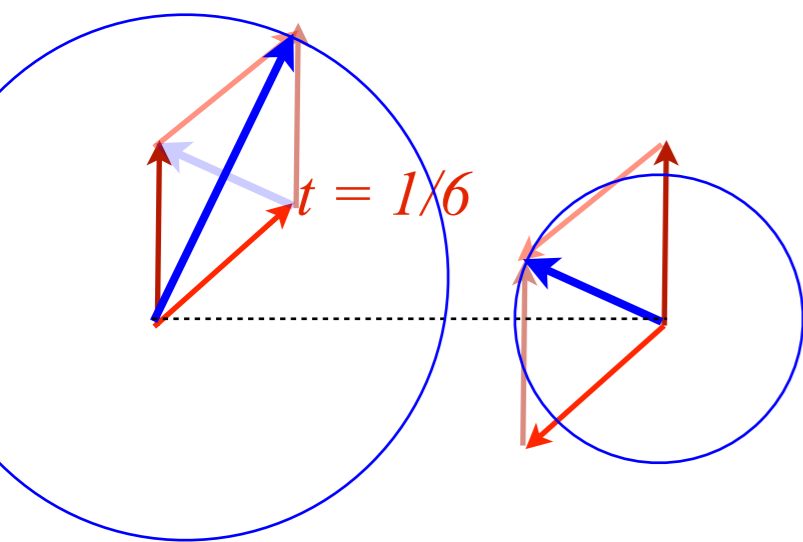
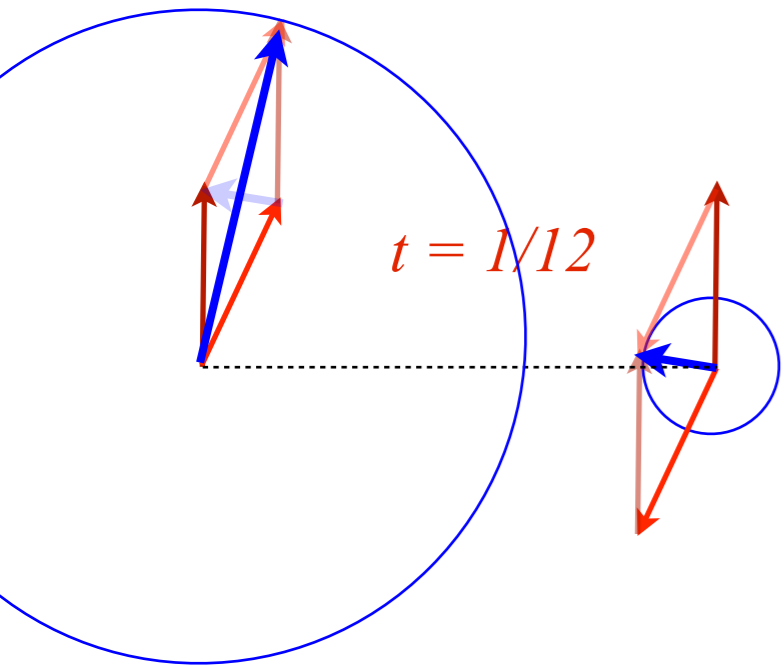


Fig. 3.3.9 Beats in weakly coupled symmetric oscillators with equal mode magnitudes.

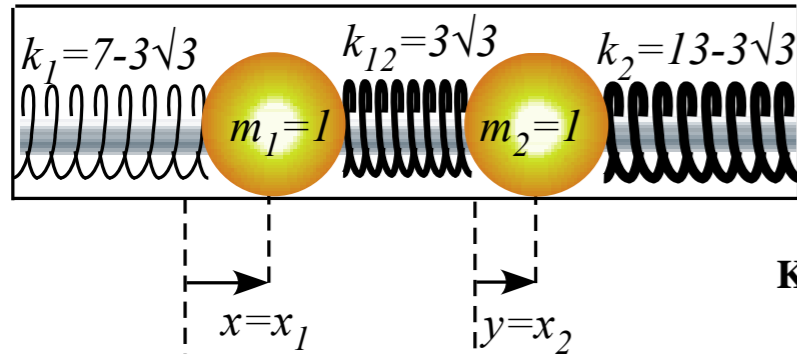
2D-HO beats and mixed mode geometry



2D-HO beats and mixed mode geometry



## Spectral decomposition of 2D-HO mode dynamics for lower symmetry



$$\mathbf{K} = \begin{pmatrix} K_{11} & K_{12} \\ K_{12} & K_{22} \end{pmatrix} = \begin{pmatrix} k_1 + k_{12} & -k_{12} \\ -k_{12} & k_2 + k_{12} \end{pmatrix} = \begin{pmatrix} 7 & -3\sqrt{3} \\ -3\sqrt{3} & 13 \end{pmatrix}$$

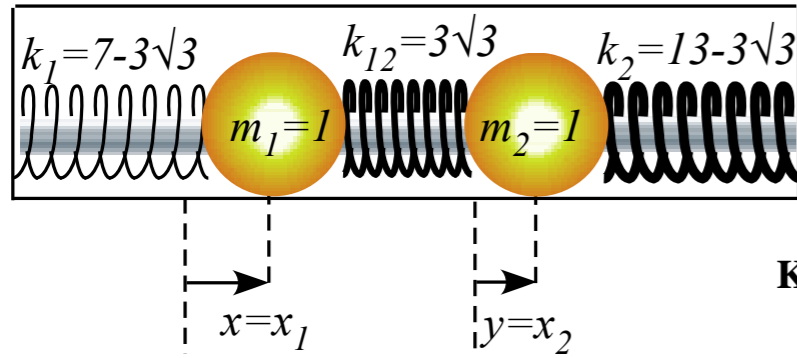
The  $\mathbf{K}$  secular equation  $K^2 - \text{Trace}(\mathbf{K})K + \text{Det}(\mathbf{K}) = K^2 - 20K + 64 = 0 = (K - 4)(K - 16)$

$$\text{Det}(\mathbf{K}) = 7 \cdot 13 - 27 = 91 - 27 = 64$$

Eigenvalues  $K_k$  and squared eigenfrequencies  $\omega_0(\epsilon_k)^2$

$$K_1 = \omega_0^2(\epsilon_1) = 4, \quad K_2 = \omega_0^2(\epsilon_2) = 16,$$

# Spectral decomposition of 2D-HO mode dynamics for lower symmetry



$$\mathbf{K} = \begin{pmatrix} K_{11} & K_{12} \\ K_{12} & K_{22} \end{pmatrix} = \begin{pmatrix} k_1 + k_{12} & -k_{12} \\ -k_{12} & k_2 + k_{12} \end{pmatrix} = \begin{pmatrix} 7 & -3\sqrt{3} \\ -3\sqrt{3} & 13 \end{pmatrix}$$

The  $\mathbf{K}$  secular equation  $K^2 - \text{Trace}(\mathbf{K})K + \text{Det}(\mathbf{K}) = K^2 - 20K + 64 = 0 = (K - 4)(K - 16)$

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Eigenvalues  $K_k$  and squared eigenfrequencies  $\omega_0(\epsilon_k)^2$

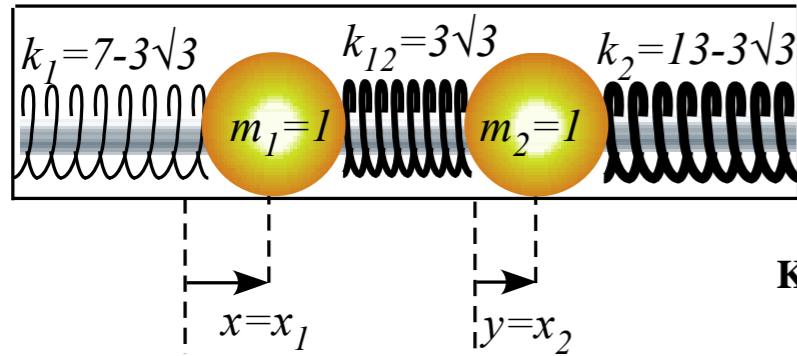
$$K_1 = \omega_0^2(\epsilon_1) = 4, \quad K_2 = \omega_0^2(\epsilon_2) = 16,$$

Eigen-projectors  $\mathbf{P}_k$

$$\begin{aligned} \mathbf{P}_1 &= \frac{\begin{pmatrix} K_{11} - K_2 & K_{12} \\ K_{12} & K_{22} - K_2 \end{pmatrix}}{K_1 - K_2} = \frac{\begin{pmatrix} 7 - 16 & -3\sqrt{3} \\ -3\sqrt{3} & 13 - 16 \end{pmatrix}}{4 - 16} = \frac{\begin{pmatrix} 9 & +3\sqrt{3} \\ +3\sqrt{3} & 3 \end{pmatrix}}{12} \\ &= \begin{pmatrix} \frac{3}{4} & \frac{\sqrt{3}}{4} \\ \frac{\sqrt{3}}{4} & \frac{1}{4} \end{pmatrix} = \begin{pmatrix} \frac{\sqrt{3}}{2} \\ \frac{1}{2} \end{pmatrix} \otimes \begin{pmatrix} \frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix} = |\epsilon_1\rangle\langle\epsilon_1| \end{aligned}$$

$$\begin{aligned} \mathbf{P}_2 &= \frac{\begin{pmatrix} K_{11} - K_1 & K_{12} \\ K_{12} & K_{22} - K_1 \end{pmatrix}}{K_2 - K_1} = \frac{\begin{pmatrix} 7 - 4 & -3\sqrt{3} \\ -3\sqrt{3} & 13 - 4 \end{pmatrix}}{16 - 4} = \frac{\begin{pmatrix} 3 & -3\sqrt{3} \\ -3\sqrt{3} & 9 \end{pmatrix}}{12} \\ &= \begin{pmatrix} \frac{1}{4} & -\frac{\sqrt{3}}{4} \\ -\frac{\sqrt{3}}{4} & \frac{3}{4} \end{pmatrix} = \begin{pmatrix} \frac{1}{2} \\ \frac{\sqrt{3}}{2} \end{pmatrix} \otimes \begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \end{pmatrix} = |\epsilon_2\rangle\langle\epsilon_2| \end{aligned}$$

# Spectral decomposition of 2D-HO mode dynamics for lower symmetry



$$\mathbf{K} = \begin{pmatrix} K_{11} & K_{12} \\ K_{12} & K_{22} \end{pmatrix} = \begin{pmatrix} k_1 + k_{12} & -k_{12} \\ -k_{12} & k_2 + k_{12} \end{pmatrix} = \begin{pmatrix} 7 & -3\sqrt{3} \\ -3\sqrt{3} & 13 \end{pmatrix}$$

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$$\text{Det}(\mathbf{K}) = 7 \cdot 13 - 27 = 91 - 27 = 64$$

Eigenvalues  $K_k$  and squared eigenfrequencies  $\omega_0(\epsilon_k)^2$

$$K_1 = \omega_0^2(\epsilon_1) = 4, \quad K_2 = \omega_0^2(\epsilon_2) = 16,$$

Eigen-projectors  $\mathbf{P}_k$

$$\mathbf{P}_1 = \frac{\begin{pmatrix} K_{11} - K_2 & K_{12} \\ K_{12} & K_{22} - K_2 \end{pmatrix}}{K_1 - K_2} = \frac{\begin{pmatrix} 7 - 16 & -3\sqrt{3} \\ -3\sqrt{3} & 13 - 16 \end{pmatrix}}{4 - 16} = \frac{\begin{pmatrix} 9 & +3\sqrt{3} \\ +3\sqrt{3} & 3 \end{pmatrix}}{12}$$

$$= \begin{pmatrix} \frac{3}{4} & \frac{\sqrt{3}}{4} \\ \frac{\sqrt{3}}{4} & \frac{1}{4} \end{pmatrix} = \begin{pmatrix} \frac{\sqrt{3}}{2} \\ \frac{1}{2} \end{pmatrix} \otimes \begin{pmatrix} \frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix} = |\epsilon_1\rangle\langle\epsilon_1|$$

$$\mathbf{P}_2 = \frac{\begin{pmatrix} K_{11} - K_1 & K_{12} \\ K_{12} & K_{22} - K_1 \end{pmatrix}}{K_2 - K_1} = \frac{\begin{pmatrix} 7 - 4 & -3\sqrt{3} \\ -3\sqrt{3} & 13 - 4 \end{pmatrix}}{16 - 4} = \frac{\begin{pmatrix} 3 & -3\sqrt{3} \\ -3\sqrt{3} & 9 \end{pmatrix}}{12}$$

$$= \begin{pmatrix} \frac{1}{4} & -\frac{\sqrt{3}}{4} \\ -\frac{\sqrt{3}}{4} & \frac{3}{4} \end{pmatrix} = \begin{pmatrix} -\frac{1}{2} \\ \frac{\sqrt{3}}{2} \end{pmatrix} \otimes \begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \end{pmatrix} = |\epsilon_2\rangle\langle\epsilon_2|$$

Eigenbra vectors:  $\langle\epsilon_1| = (\sqrt{3}/2 \quad 1/2)$ ,  $\langle\epsilon_2| = (-1/2 \quad \sqrt{3}/2)$

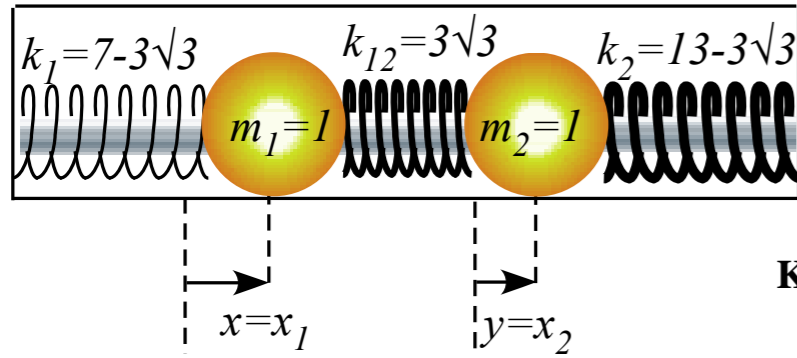
Spectral decomposition of initial state  $\mathbf{x}(0) = (1, 0)$ :

$$\mathbf{1} \cdot \mathbf{x}(0) = (\mathbf{P}_1 + \mathbf{P}_2) \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} \frac{\sqrt{3}}{2} \\ \frac{1}{2} \end{pmatrix} \otimes \begin{pmatrix} \frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} + \begin{pmatrix} -\frac{1}{2} \\ \frac{\sqrt{3}}{2} \end{pmatrix} \otimes \begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$= \begin{pmatrix} \frac{\sqrt{3}}{2} \\ \frac{1}{2} \end{pmatrix} \left(\frac{\sqrt{3}}{2}\right) + \begin{pmatrix} -\frac{1}{2} \\ \frac{\sqrt{3}}{2} \end{pmatrix} \left(-\frac{1}{2}\right) \quad \text{(Note projection onto eigen-axes)}$$



# Spectral decomposition of 2D-HO mode dynamics for lower symmetry



$$\mathbf{K} = \begin{pmatrix} K_{11} & K_{12} \\ K_{12} & K_{22} \end{pmatrix} = \begin{pmatrix} k_1 + k_{12} & -k_{12} \\ -k_{12} & k_2 + k_{12} \end{pmatrix} = \begin{pmatrix} 7 & -3\sqrt{3} \\ -3\sqrt{3} & 13 \end{pmatrix}$$

The  $\mathbf{K}$  secular equation  $K^2 - \text{Trace}(\mathbf{K})K + \text{Det}(\mathbf{K}) = K^2 - 20K + 64 = 0 = (K - 4)(K - 16)$

$$\text{Det}(\mathbf{K}) = 7 \cdot 13 - 27 = 91 - 27 = 64$$

Eigenvalues  $K_k$  and squared eigenfrequencies  $\omega_0(\epsilon_k)^2$

$$K_1 = \omega_0^2(\epsilon_1) = 4, \quad K_2 = \omega_0^2(\epsilon_2) = 16,$$

Eigen-projectors  $\mathbf{P}_k$

$$\mathbf{P}_1 = \frac{\begin{pmatrix} K_{11} - K_2 & K_{12} \\ K_{12} & K_{22} - K_2 \end{pmatrix}}{K_1 - K_2} = \frac{\begin{pmatrix} 7 - 16 & -3\sqrt{3} \\ -3\sqrt{3} & 13 - 16 \end{pmatrix}}{4 - 16} = \frac{\begin{pmatrix} 9 & +3\sqrt{3} \\ +3\sqrt{3} & 3 \end{pmatrix}}{12}$$

$$= \begin{pmatrix} \frac{3}{4} & \frac{\sqrt{3}}{4} \\ \frac{\sqrt{3}}{4} & \frac{1}{4} \end{pmatrix} = \begin{pmatrix} \frac{\sqrt{3}}{2} \\ \frac{1}{2} \end{pmatrix} \otimes \begin{pmatrix} \frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix} = |\epsilon_1\rangle\langle\epsilon_1|$$

$$\mathbf{P}_2 = \frac{\begin{pmatrix} K_{11} - K_1 & K_{12} \\ K_{12} & K_{22} - K_1 \end{pmatrix}}{K_2 - K_1} = \frac{\begin{pmatrix} 7 - 4 & -3\sqrt{3} \\ -3\sqrt{3} & 13 - 4 \end{pmatrix}}{16 - 4} = \frac{\begin{pmatrix} 3 & -3\sqrt{3} \\ -3\sqrt{3} & 9 \end{pmatrix}}{12}$$

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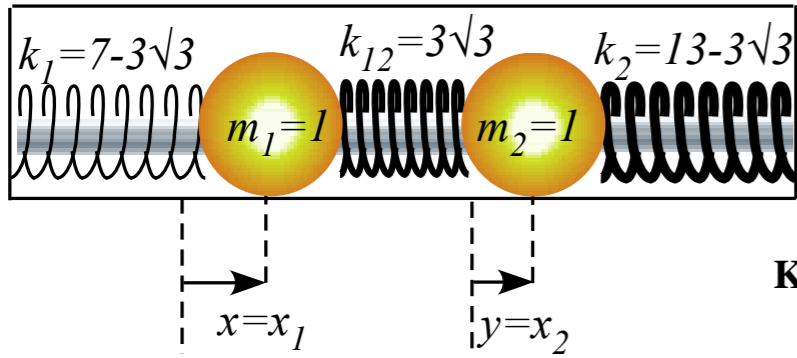
$$= \begin{pmatrix} \frac{\sqrt{3}}{2} \\ \frac{1}{2} \end{pmatrix} \begin{pmatrix} \sqrt{3} \\ 1 \end{pmatrix} + \begin{pmatrix} -\frac{1}{2} \\ \frac{\sqrt{3}}{2} \end{pmatrix} \begin{pmatrix} -1 \\ \sqrt{3} \end{pmatrix} \quad \text{(Note projection onto eigen-axes)}$$

$$\begin{pmatrix} q_1(t) = \frac{\sqrt{3}}{2} \cos 2t, & q_2(t) = -\frac{1}{2} \cos 4t \end{pmatrix}$$

Using  $\cos 4t = 2 \cos^2 2t - 1$  derives a parabolic trajectory!

$$q_2 = -\frac{1}{2} 2 \cos^2 2t + \frac{1}{2} = -\frac{4}{3} q_1^2 + \frac{1}{2}$$

# Spectral decomposition of 2D-HO mode dynamics for lower symmetry



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Eigenbra vectors:  $\langle\epsilon_1| = \left( \frac{\sqrt{3}}{2} \quad \frac{1}{2} \right)$ ,  $\langle\epsilon_2| = \left( -\frac{1}{2} \quad \frac{\sqrt{3}}{2} \right)$

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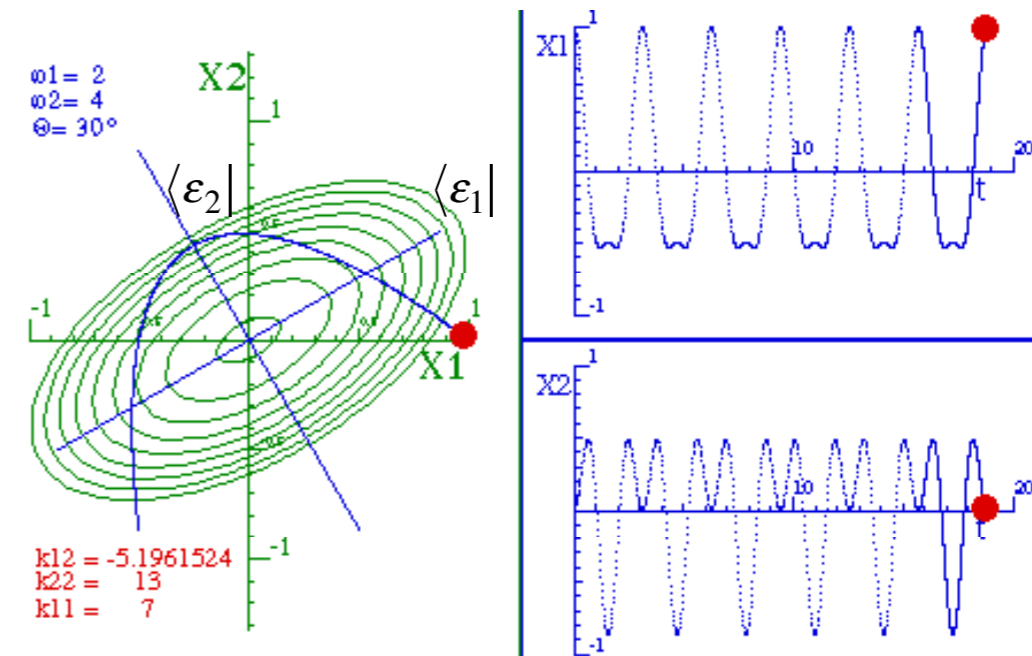


Fig. 3.3.6 Normal coordinate axes, coupled oscillator trajectories and equipotential ( $V = \text{const.}$ ) ovals for an integral 1:2 eigenfrequency ratio ( $\omega_0(\epsilon_1) = 2.0$ ,  $\omega_0(\epsilon_2) = 4.0$ ) and zero initial velocity.

*2-State Schrodinger equations*  $i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \mathbf{H} |\Psi(t)\rangle$  are analogous to classical 2D coupled oscillator equations.

First start with 2-by-2 Hermitian (self-conjugate) matrix

$$\mathbf{H} = \begin{pmatrix} A & B-iC \\ B+iC & D \end{pmatrix} = \mathbf{H}^\dagger$$

that operates on 2-D complex Dirac ket vector  $|\Psi\rangle$  .

$$|\Psi\rangle = \begin{pmatrix} \Psi_1 \\ \Psi_2 \end{pmatrix} = \begin{pmatrix} x_1 + ip_1 \\ x_2 + ip_2 \end{pmatrix} = \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$

Separate real  $x_k$  and imaginary  $p_k$  parts of  $\Psi_k$  amplitudes to convert the complex 1<sup>st</sup>-order equation  $i\partial_t\Psi = \mathbf{H}\Psi$  into pairs of real real 1<sup>st</sup>-order differential equations.

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$$\begin{aligned} \dot{x}_1 &= Ap_1 + Bp_2 - Cx_2 & \dot{p}_1 &= -Ax_1 - Bx_2 - Cp_2 \\ \dot{x}_2 &= Bp_1 + Dp_2 + Cx_1 & \dot{p}_2 &= -Bx_1 - Dx_2 + Cp_1 \end{aligned}$$

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$$\begin{pmatrix} i\dot{x}_1 - \dot{p}_1 \\ i\dot{x}_2 - \dot{p}_2 \end{pmatrix} = \begin{pmatrix} Ax_1 + Bx_2 + Cp_2 + iAp_1 + iBp_2 - iCx_2 \\ Bx_1 + Dx_2 - Cp_1 + iBp_1 + iDp_2 + iCx_1 \end{pmatrix}$$

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Then start with classical Hamiltonian.

$$H_c = \frac{A}{2}(p_1^2 + x_1^2) + B(x_1x_2 + p_1p_2) + C(x_1p_2 - x_2p_1) + \frac{D}{2}(p_2^2 + x_2^2)$$

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*QM vs. Classical  
Equations are  
identical*

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Finally a 2<sup>nd</sup> time derivative (Assume constant  $A, B, D$ , and *let  $C=0$* ) gives 2<sup>nd</sup>-order classical Newton-Hooke-like equation:  $|\ddot{\mathbf{x}}\rangle = -\mathbf{K} \cdot |\mathbf{x}\rangle$

$$\begin{aligned} \ddot{x}_1 &= A\dot{p}_1 + B\dot{p}_2 - C\dot{x}_2 \\ &= -A(Ax_1 + Bx_2 + Cp_2) - B(Bx_1 + Dx_2 - Cp_1) - C(Bp_1 + Dp_2 + Cx_1) \\ &= -(A^2 + B^2 + C^2)x_1 - (AB + BD)x_2 - C(A + D)p_2 \end{aligned}$$

$$\begin{aligned} \ddot{x}_2 &= B\dot{p}_1 + D\dot{p}_2 + C\dot{x}_1 \\ &= -B(Ax_1 + Bx_2 + Cp_2) - D(Bx_1 + Dx_2 - Cp_1) + C(Ap_1 + Bp_2 - Cx_2) \\ &= -(AB + BD)x_1 - (B^2 + D^2 + C^2)x_2 + C(A + D)p_1 \end{aligned}$$

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$$\begin{aligned} \dot{x}_1 &= Ap_1 + Bp_2 - Cx_2 & \dot{p}_1 &= -Ax_1 - Bx_2 - Cp_2 \\ \dot{x}_2 &= Bp_1 + Dp_2 + Cx_1 & \dot{p}_2 &= -Bx_1 - Dx_2 + Cp_1 \end{aligned}$$

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$$H_c = \frac{A}{2}(p_1^2 + x_1^2) + B(x_1x_2 + p_1p_2) + C(x_1p_2 - x_2p_1) + \frac{D}{2}(p_2^2 + x_2^2)$$

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Here is an operator view of the QM-Classical connection: Take Schrodinger operator  $i\partial_t = \mathbf{H}$  (with  $C=0$ ) and square it!

$$i\frac{\partial}{\partial t} = \begin{pmatrix} A & B \\ B & D \end{pmatrix} \Rightarrow \left(i\frac{\partial}{\partial t}\right)^2 = \begin{pmatrix} A & B \\ B & D \end{pmatrix}^2 \Rightarrow -\frac{\partial^2}{\partial t^2} = \begin{pmatrix} A^2 + B^2 & AB + BD \\ AB + BD & B^2 + D^2 \end{pmatrix}$$

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*Conclusion: 2-state Schro-equation*  $i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \mathbf{H} |\Psi(t)\rangle$  is like "square-root" of Newton-Hooke.  $\sqrt{|\ddot{\mathbf{x}}\rangle = -\mathbf{K} \cdot |\mathbf{x}\rangle}$

## *ABCD Symmetry operator analysis and U(2) spinors*

Decompose the Hamiltonian operator  $\mathbf{H}$  into four *ABCD symmetry operators*

(Labeled to provide dynamic mnemonics as well as colorful analogies)

$$\begin{pmatrix} A & B-iC \\ B+iC & D \end{pmatrix} = A \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} + B \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} + C \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} + D \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = A\mathbf{e}_{11} + B\boldsymbol{\sigma}_B + C\boldsymbol{\sigma}_C + D\mathbf{e}_{22}$$

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Symmetry archetypes: *A (Asymmetric-diagonal)* | *B (Bilateral-balanced)* | *C (Chiral-circular-complex-Coriolis-cyclotron-curly...)*

The  $\{\boldsymbol{\sigma}_I, \boldsymbol{\sigma}_A, \boldsymbol{\sigma}_B, \boldsymbol{\sigma}_C\}$  are best known as *Pauli-spin operators*  $\{\boldsymbol{\sigma}_I = \boldsymbol{\sigma}_0, \boldsymbol{\sigma}_B = \boldsymbol{\sigma}_X, \boldsymbol{\sigma}_C = \boldsymbol{\sigma}_Y, \boldsymbol{\sigma}_A = \boldsymbol{\sigma}_Z\}$

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In 1843 Hamilton discovers *quaternions*  $\{\mathbf{1}, \mathbf{i}, \mathbf{j}, \mathbf{k}\}$ . They are related to  $\sigma$ 's:  $\{\sigma_I = \mathbf{1} = \sigma_0, i\sigma_B = \mathbf{i} = i\sigma_X, i\sigma_C = \mathbf{j} = i\sigma_Y, i\sigma_A = \mathbf{k} = i\sigma_Z\}$ .



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Each Hamilton quaternion squares to *negative-1* ( $\mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = -\mathbf{1}$ ) like imaginary number  $i^2 = -1$ . (They make up the Quaternion group.)

Each Pauli  $\boldsymbol{\sigma}_\mu$  squares to *positive-1* ( $\boldsymbol{\sigma}_X^2 = \boldsymbol{\sigma}_Y^2 = \boldsymbol{\sigma}_Z^2 = +\mathbf{1}$ ) (Each makes a cyclic  $C_2$  group  $C_2^A = \{\mathbf{1}, \boldsymbol{\sigma}_A\}$ ,  $C_2^B = \{\mathbf{1}, \boldsymbol{\sigma}_B\}$ , or  $C_2^C = \{\mathbf{1}, \boldsymbol{\sigma}_C\}$ .)

## ABCD Symmetry operator analysis and U(2) spinors

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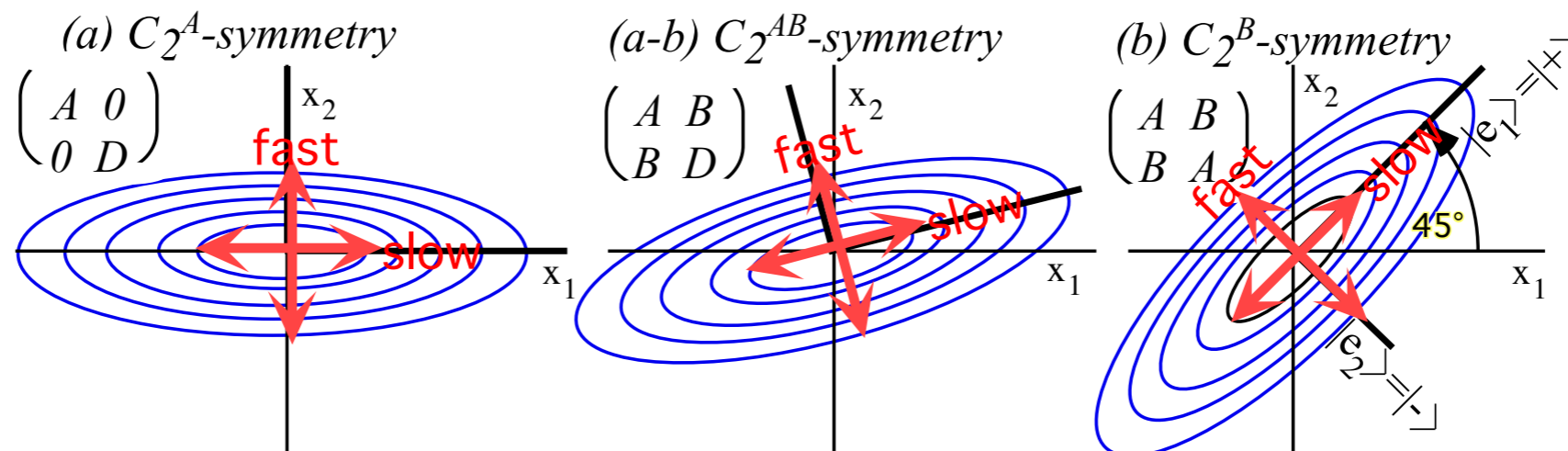


Fig. 3.4.1 Potentials for (a)  $C_2^A$ -asymmetric-diagonal, (ab)  $C_2^{AB}$ -mixed, (b)  $C_2^B$ -bilateral U(2)system.

OBJECTIVE: Evaluate and (*most important!*) *visualize* matrix-exponent solutions.

$$|\Psi(t)\rangle = e^{-i\mathbf{H}\cdot t} |\Psi(0)\rangle$$

Hamilton generalized Euler's expansion  $e^{-i\Omega t} = \cos \Omega t - i \sin \Omega t$  so matrix exponential becomes powerful.

$$e^{-i\mathbf{H}\cdot t} = e^{-i \begin{pmatrix} A & B-iC \\ B+iC & D \end{pmatrix} \cdot t} = e^{-i \frac{A-D}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \cdot t} e^{-iB \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \cdot t} e^{-iC \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \cdot t} e^{-i \frac{A+D}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \cdot t}$$

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*ABCD Time evolution operator*

Symmetry relations make spinors  $\boldsymbol{\sigma}_X$ ,  $\boldsymbol{\sigma}_Y$ , and  $\boldsymbol{\sigma}_Z$  or quaternions  $\mathbf{i} = -i\boldsymbol{\sigma}_X$ ,  $\mathbf{j} = -i\boldsymbol{\sigma}_Y$ , and  $\mathbf{k} = -i\boldsymbol{\sigma}_Z$  powerful.

Each  $\boldsymbol{\sigma}_X$  squares to one (unit matrix  $\mathbf{1} = \boldsymbol{\sigma}_X \cdot \boldsymbol{\sigma}_X$ ) and each quaternion squares to minus-one ( $-\mathbf{1} = \mathbf{i}\cdot\mathbf{i} = \mathbf{j}\cdot\mathbf{j}$ , etc.) just like  $i = \sqrt{-1}$ .

OBJECTIVE: Evaluate and (*most important!*) *visualize* matrix-exponent solutions.

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$\sigma_A = \sigma_Z$        $\sigma_B = \sigma_X$        $\sigma_C = \sigma_Y$

$$= e^{-i\sigma \cdot \Omega \cdot t / 2} e^{-i\Omega_0 \cdot t} \text{ where: } \Theta = \Omega \cdot t = \begin{pmatrix} \Omega_A \\ \Omega_B \\ \Omega_C \end{pmatrix} \cdot t = \begin{pmatrix} A-D \\ 2B \\ 2C \end{pmatrix} \cdot t \text{ and: } \Omega_0 = \frac{A+D}{2}$$

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Symmetry relations make spinors  $\sigma_X$ ,  $\sigma_Y$ , and  $\sigma_Z$  or quaternions  $\mathbf{i} = -i\sigma_X$ ,  $\mathbf{j} = -i\sigma_Y$ , and  $\mathbf{k} = -i\sigma_Z$  powerful.

Each  $\sigma_X$  squares to one (unit matrix  $\mathbf{1} = \sigma_X \cdot \sigma_X$ ) and each quaternion squares to minus-one ( $-\mathbf{1} = \mathbf{i} \cdot \mathbf{i} = \mathbf{j} \cdot \mathbf{j}$ , etc.) just like  $i = \sqrt{-1}$ .

This is true for spinor components based on *any* unit vector  $\hat{\mathbf{a}} = (a_X, a_Y, a_Z)$  for which  $\hat{\mathbf{a}} \cdot \hat{\mathbf{a}} = 1 = a_X^2 + a_Y^2 + a_Z^2$ .

To see this just try it out on any  $\hat{\mathbf{a}}$ -component:  $\sigma_a = \sigma \cdot \hat{\mathbf{a}} = a_X \sigma_X + a_Y \sigma_Y + a_Z \sigma_Z$ .

$$\begin{aligned} \sigma_a^2 &= (\sigma \cdot \hat{\mathbf{a}})(\sigma \cdot \hat{\mathbf{a}}) = (a_X \sigma_X + a_Y \sigma_Y + a_Z \sigma_Z)(a_X \sigma_X + a_Y \sigma_Y + a_Z \sigma_Z) \\ &= \begin{matrix} a_X \sigma_X a_X \sigma_X & + a_X \sigma_X a_Y \sigma_Y & + a_X \sigma_X a_Z \sigma_Z & a_X a_X \sigma_X \sigma_X & + a_X a_Y \sigma_X \sigma_Y & + a_X a_Z \sigma_X \sigma_Z \\ + a_Y \sigma_Y a_X \sigma_X & + a_Y \sigma_Y a_Y \sigma_Y & + a_Y \sigma_Y a_Z \sigma_Z & + a_Y a_X \sigma_Y \sigma_X & + a_Y a_Y \sigma_Y \sigma_Y & + a_Y a_Z \sigma_Y \sigma_Z \\ + a_Z \sigma_Z a_X \sigma_X & + a_Z \sigma_Z a_Y \sigma_Y & + a_Z \sigma_Z a_Z \sigma_Z & + a_Z a_X \sigma_Z \sigma_X & + a_Z a_Y \sigma_Z \sigma_Y & + a_Z a_Z \sigma_Z \sigma_Z \end{matrix} \end{aligned}$$

OBJECTIVE: Evaluate and (*most important!*) *visualize* matrix-exponent solutions.

$$|\Psi(t)\rangle = e^{-i\mathbf{H}\cdot t} |\Psi(0)\rangle$$

Hamilton generalized Euler's expansion  $e^{-i\Omega t} = \cos \Omega t - i \sin \Omega t$  so matrix exponential becomes powerful.

$$e^{-i\mathbf{H}\cdot t} = e^{-i \begin{pmatrix} A & B-iC \\ B+iC & D \end{pmatrix} \cdot t} = e^{-i \frac{A-D}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \cdot t - iB \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \cdot t - iC \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \cdot t - i \frac{A+D}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \cdot t}$$

$\sigma_A = \sigma_Z$        $\sigma_B = \sigma_X$        $\sigma_C = \sigma_Y$

$$= e^{-i\sigma \cdot \Omega \cdot t / 2} e^{-i\Omega_0 \cdot t} \text{ where: } \Theta = \Omega \cdot t = \begin{pmatrix} \Omega_A \\ \Omega_B \\ \Omega_C \end{pmatrix} \cdot t = \begin{pmatrix} A-D \\ 2B \\ 2C \end{pmatrix} \cdot t \text{ and: } \Omega_0 = \frac{A+D}{2}$$

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$$\sigma_a^2 = (\sigma \cdot \hat{\mathbf{a}})(\sigma \cdot \hat{\mathbf{a}}) = (a_X \sigma_X + a_Y \sigma_Y + a_Z \sigma_Z)(a_X \sigma_X + a_Y \sigma_Y + a_Z \sigma_Z)$$

$$= \begin{matrix} a_X \sigma_X a_X \sigma_X & + a_X \sigma_X a_Y \sigma_Y & + a_X \sigma_X a_Z \sigma_Z & a_X a_X \sigma_X \sigma_X & + a_X a_Y \sigma_X \sigma_Y & + a_X a_Z \sigma_X \sigma_Z \\ + a_Y \sigma_Y a_X \sigma_X & + a_Y \sigma_Y a_Y \sigma_Y & + a_Y \sigma_Y a_Z \sigma_Z & + a_Y a_X \sigma_Y \sigma_X & + a_Y a_Y \sigma_Y \sigma_Y & + a_Y a_Z \sigma_Y \sigma_Z \\ + a_Z \sigma_Z a_X \sigma_X & + a_Z \sigma_Z a_Y \sigma_Y & + a_Z \sigma_Z a_Z \sigma_Z & + a_Z a_X \sigma_Z \sigma_X & + a_Z a_Y \sigma_Z \sigma_Y & + a_Z a_Z \sigma_Z \sigma_Z \end{matrix}$$

$$\begin{matrix} \sigma_Z \cdot \sigma_X \\ \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = i \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} = i \sigma_Y \\ \sigma_X \cdot \sigma_Z \\ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} = -i \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} = -i \sigma_Y \end{matrix}$$

To finish we need another symmetry property called *anti-commutation*:  $\sigma_X \sigma_Y = -\sigma_Y \sigma_X$ ,  $\sigma_X \sigma_Z = -\sigma_Z \sigma_X$ , etc.

$$\sigma_a^2 = (\sigma \cdot \hat{\mathbf{a}})(\sigma \cdot \hat{\mathbf{a}}) = (a_X \sigma_X + a_Y \sigma_Y + a_Z \sigma_Z)(a_X \sigma_X + a_Y \sigma_Y + a_Z \sigma_Z)$$

$$= \begin{matrix} a_X^2 \mathbf{1} & + a_X a_Y \sigma_X \sigma_Y & + a_X a_Z \sigma_X \sigma_Z \\ - a_X a_Y \sigma_X \sigma_Y & + a_Y^2 \mathbf{1} & + a_Y a_Z \sigma_Y \sigma_Z \\ - a_X a_Z \sigma_X \sigma_Z & - a_Y a_Z \sigma_Y \sigma_Z & + a_Z^2 \mathbf{1} \end{matrix} = (a_X^2 + a_Y^2 + a_Z^2) \mathbf{1} = \mathbf{1}$$

So:  $\sigma_a^2 = \mathbf{1}$

OBJECTIVE: Evaluate and (*most important!*) *visualize* matrix-exponent solutions.

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$$= e^{-i\boldsymbol{\sigma}\cdot\boldsymbol{\Omega}\cdot t/2} e^{-i\Omega_0\cdot t} \text{ where: } \boldsymbol{\Theta} = \boldsymbol{\Omega}\cdot t = \begin{pmatrix} \Omega_A \\ \Omega_B \\ \Omega_C \end{pmatrix} \cdot t = \begin{pmatrix} A-D \\ 2B \\ 2C \end{pmatrix} \cdot t \text{ and: } \Omega_0 = \frac{A+D}{2}$$

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Symmetry relations make spinors  $\boldsymbol{\sigma}_X$ ,  $\boldsymbol{\sigma}_Y$ , and  $\boldsymbol{\sigma}_Z$  or quaternions  $\mathbf{i} = -i\boldsymbol{\sigma}_X$ ,  $\mathbf{j} = -i\boldsymbol{\sigma}_Y$ , and  $\mathbf{k} = -i\boldsymbol{\sigma}_Z$  powerful.

$\boldsymbol{\sigma}$ -products do dot  $\cdot$  and cross  $\times$  products by symmetries:  $\boldsymbol{\sigma}_X \boldsymbol{\sigma}_Y = i\boldsymbol{\sigma}_Z = -\boldsymbol{\sigma}_Y \boldsymbol{\sigma}_X$ ,  $\boldsymbol{\sigma}_Z \boldsymbol{\sigma}_X = i\boldsymbol{\sigma}_Y = -\boldsymbol{\sigma}_X \boldsymbol{\sigma}_Z$ ,  $\boldsymbol{\sigma}_Y \boldsymbol{\sigma}_Z = i\boldsymbol{\sigma}_X = -\boldsymbol{\sigma}_Z \boldsymbol{\sigma}_Y$

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$\sigma_A = \sigma_Z$        $\sigma_B = \sigma_X$        $\sigma_C = \sigma_Y$

$$= e^{-i\sigma \cdot \Omega \cdot t / 2} e^{-i\Omega_0 \cdot t} \text{ where: } \Theta = \Omega \cdot t = \begin{pmatrix} \Omega_A \\ \Omega_B \\ \Omega_C \end{pmatrix} \cdot t = \begin{pmatrix} A-D \\ 2B \\ 2C \end{pmatrix} \cdot t \text{ and: } \Omega_0 = \frac{A+D}{2}$$

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$$\begin{aligned} \sigma_a \sigma_b &= (\sigma \cdot \mathbf{a})(\sigma \cdot \mathbf{b}) = (a_x \sigma_X + a_y \sigma_Y + a_z \sigma_Z)(b_x \sigma_X + b_y \sigma_Y + b_z \sigma_Z) \\ &= a_x b_x \mathbf{1} + a_x b_y \sigma_X \sigma_Y - a_x b_z \sigma_Z \sigma_X + i(a_y b_z - a_z b_y) \sigma_X \\ &= -a_y b_x \sigma_X \sigma_Y + a_y b_y \mathbf{1} + a_y b_z \sigma_Y \sigma_Z + i(a_z b_x - a_x b_z) \sigma_Y \\ &= a_z b_x \sigma_Z \sigma_X - a_z b_y \sigma_Y \sigma_Z + a_z b_z \mathbf{1} + i(a_x b_y - a_y b_x) \sigma_Z \end{aligned}$$

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$$\begin{aligned} \sigma_a \sigma_b &= (\sigma \bullet \mathbf{a})(\sigma \bullet \mathbf{b}) = (a_x \sigma_X + a_y \sigma_Y + a_z \sigma_Z)(b_x \sigma_X + b_y \sigma_Y + b_z \sigma_Z) \\ &= a_x b_x \mathbf{1} + a_x b_y \sigma_X \sigma_Y - a_x b_z \sigma_Z \sigma_X + i(a_y b_z - a_z b_y) \sigma_X \\ &= -a_y b_x \sigma_X \sigma_Y + a_y b_y \mathbf{1} + a_y b_z \sigma_Y \sigma_Z + i(a_z b_x - a_x b_z) \sigma_Y \\ &+ a_z b_x \sigma_Z \sigma_X - a_z b_y \sigma_Y \sigma_Z + a_z b_z \mathbf{1} + i(a_x b_y - a_y b_x) \sigma_Z \end{aligned}$$

Write the product in Gibbs notation. (Where do you think Gibbs got his  $\{\mathbf{i}, \mathbf{j}, \mathbf{k}\}$  notation!)

$$\sigma_a \sigma_b = (\sigma \bullet \mathbf{a})(\sigma \bullet \mathbf{b}) = (\mathbf{a} \bullet \mathbf{b}) \mathbf{1} + i(\mathbf{a} \times \mathbf{b}) \bullet \sigma$$



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$\sigma_A = \sigma_Z$        $\sigma_B = \sigma_X$        $\sigma_C = \sigma_Y$

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$$\begin{aligned} \sigma_a \sigma_b &= (\sigma \bullet \mathbf{a})(\sigma \bullet \mathbf{b}) = (a_X \sigma_X + a_Y \sigma_Y + a_Z \sigma_Z)(b_X \sigma_X + b_Y \sigma_Y + b_Z \sigma_Z) \\ &= a_X b_X \mathbf{1} + a_X b_Y \sigma_X \sigma_Y - a_X b_Z \sigma_Z \sigma_X + i(a_Y b_Z - a_Z b_Y) \sigma_X \\ &= -a_Y b_X \sigma_X \sigma_Y + a_Y b_Y \mathbf{1} + a_Y b_Z \sigma_Y \sigma_Z + i(a_Z b_X - a_X b_Z) \sigma_Y \\ &+ a_Z b_X \sigma_Z \sigma_X - a_Z b_Y \sigma_Y \sigma_Z + a_Z b_Z \mathbf{1} + i(a_X b_Y - a_Y b_X) \sigma_Z \end{aligned}$$

Write the product in Gibbs notation. (Where do you think Gibbs got his  $\{\mathbf{i}, \mathbf{j}, \mathbf{k}\}$  notation!)

$$\sigma_a \sigma_b = (\sigma \bullet \mathbf{a})(\sigma \bullet \mathbf{b}) = (\mathbf{a} \bullet \mathbf{b}) \mathbf{1} + i(\mathbf{a} \times \mathbf{b}) \bullet \sigma$$

(Recall (1.10.29). in complex variable unit.)

$$\begin{aligned} A^* B &= (A_X + iA_Y)^*(B_X + iB_Y) = (A_X - iA_Y)(B_X + iB_Y) \\ &= (A_X B_X + A_Y B_Y) + i(A_X B_Y - A_Y B_X) = (\mathbf{A} \bullet \mathbf{B}) + i(\mathbf{A} \times \mathbf{B})_Z \end{aligned}$$

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Hamilton is able to generalize Euler's complex rotation operators  $e^{+i\varphi}$  and  $e^{-i\varphi}$ . (Recall (1.10.17).)

$$e^{-i\varphi} = 1 + (-i\varphi) + \frac{1}{2!}(-i\varphi)^2 + \frac{1}{3!}(-i\varphi)^3 + \frac{1}{4!}(-i\varphi)^4 \dots = [1 - \frac{1}{2!}\varphi^2 + \frac{1}{4!}\varphi^4 \dots] = [\cos \varphi]$$

$$-i(\varphi + \frac{1}{3!}\varphi^3 \dots) = -i(\sin \varphi)$$

Note even powers of  $(-i)$  are  $\pm 1$  and odd powers of  $(-i)$  are  $\pm i$ :  $(-i)^0 = +1$ ,  $(-i)^1 = -i$ ,  $(-i)^2 = -1$ ,  $(-i)^3 = +i$ ,  $(-i)^4 = +1$ ,  $(-i)^5 = -i$ , etc.

*Lecture 38 ends here*

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Hamilton replaces  $(-i)$  with  $-i\sigma_a$  in the  $e^{-i\varphi}$  power series above to get a sequence of terms just like it.

$$(-i\sigma_a)^0 = +\mathbf{1}, (-i\sigma_a)^1 = -i\sigma_a, (-i\sigma_a)^2 = -\mathbf{1}, (-i\sigma_a)^3 = +i\sigma_a, (-i\sigma_a)^4 = +\mathbf{1}, (-i\sigma_a)^5 = -i\sigma_a, \text{ etc.}$$

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$\sigma_A = \sigma_Z$        $\sigma_B = \sigma_X$        $\sigma_C = \sigma_Y$

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$$e^{-i\varphi} = 1 + (-i\varphi) + \frac{1}{2!}(-i\varphi)^2 + \frac{1}{3!}(-i\varphi)^3 + \frac{1}{4!}(-i\varphi)^4 \dots = [1 - \frac{1}{2!}\varphi^2 + \frac{1}{4!}\varphi^4 \dots] = [\cos \varphi]$$

$$[-i(\varphi + \frac{1}{3!}\varphi^3 \dots)] = -i(\sin \varphi)$$

Note even powers of  $(-i)$  are  $\pm 1$  and odd powers of  $(-i)$  are  $\pm i$ :  $(-i)^0 = +1$ ,  $(-i)^1 = -i$ ,  $(-i)^2 = -1$ ,  $(-i)^3 = +i$ ,  $(-i)^4 = +1$ ,  $(-i)^5 = -i$ , etc.

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This allows Hamilton to generalize Euler's rotation  $e^{-i\varphi}$  to  $e^{-i\sigma_a\varphi}$  for any  $\sigma_a = (\sigma \cdot \mathbf{a}) = a_X\sigma_X + a_Y\sigma_Y + a_Z\sigma_Z$ .

$$e^{-i\varphi} = \mathbf{1} \cos \varphi - i \sin \varphi \quad \text{generalizes to:} \quad e^{-i\sigma_a\varphi} = \mathbf{1} \cos \varphi - i \sigma_a \sin \varphi$$

OBJECTIVE: Evaluate and (*most important!*) *visualize* matrix-exponent solutions.

$$|\Psi(t)\rangle = e^{-i\mathbf{H}\cdot t} |\Psi(0)\rangle$$

Hamilton generalized Euler's expansion  $e^{-i\Omega t} = \cos \Omega t - i \sin \Omega t$  so matrix exponential becomes powerful.

$$e^{-i\mathbf{H}\cdot t} = e^{-i \begin{pmatrix} A & B-iC \\ B+iC & D \end{pmatrix} \cdot t} = e^{-i \frac{A-D}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \cdot t} e^{-iB \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \cdot t} e^{-iC \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \cdot t} e^{-i \frac{A+D}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \cdot t}$$

$\sigma_A = \sigma_Z$        $\sigma_B = \sigma_X$        $\sigma_C = \sigma_Y$

$$= e^{-i\sigma \cdot \Omega \cdot t / 2} e^{-i\Omega_0 \cdot t} \text{ where: } \Theta = \Omega \cdot t = \begin{pmatrix} \Omega_A \\ \Omega_B \\ \Omega_C \end{pmatrix} \cdot t = \begin{pmatrix} A-D \\ 2B \\ 2C \end{pmatrix} \cdot t \text{ and: } \Omega_0 = \frac{A+D}{2}$$

*ABCD Time evolution operator*

Symmetry relations make spinors  $\sigma_X$ ,  $\sigma_Y$ , and  $\sigma_Z$  or quaternions  $\mathbf{i} = -i\sigma_X$ ,  $\mathbf{j} = -i\sigma_Y$ , and  $\mathbf{k} = -i\sigma_Z$  powerful.

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$$e^{-i\varphi} = 1 \cos \varphi - i \sin \varphi$$

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$$= \begin{pmatrix} \cos \varphi_A - i \sin \varphi_A & 0 \\ 0 & \cos \varphi_A - i \sin \varphi_A \end{pmatrix} = \begin{pmatrix} e^{-i\varphi_A} & 0 \\ 0 & e^{i\varphi_A} \end{pmatrix}$$

*Example 1:*  
*A or Z*  
*rotation*

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$\sigma_A = \sigma_Z$        $\sigma_B = \sigma_X$        $\sigma_C = \sigma_Y$

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$$e^{-i\varphi} = 1 + (-i\varphi) + \frac{1}{2!}(-i\varphi)^2 + \frac{1}{3!}(-i\varphi)^3 + \frac{1}{4!}(-i\varphi)^4 \dots = \begin{bmatrix} 1 & -\frac{1}{2!}\varphi^2 & +\frac{1}{4!}\varphi^4 \dots \\ -i(\varphi) & +\frac{1}{3!}\varphi^3 & \dots \end{bmatrix} = \begin{bmatrix} \cos \varphi \\ -i(\sin \varphi) \end{bmatrix}$$

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$$e^{-i\varphi} = 1 \cos \varphi - i \sin \varphi$$

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$$e^{-i\sigma_a \varphi} = 1 \cos \varphi - i \sigma_a \sin \varphi$$

$$e^{-i \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \varphi_A} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \cos \varphi_A - i \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \sin \varphi_A$$

$$= \begin{pmatrix} \cos \varphi_A - i \sin \varphi_A & 0 \\ 0 & \cos \varphi_A - i \sin \varphi_A \end{pmatrix} = \begin{pmatrix} e^{-i\varphi_A} & 0 \\ 0 & e^{i\varphi_A} \end{pmatrix}$$

*Example 1:*  
*A or Z*  
*rotation*

$$e^{-i \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \varphi_C} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \cos \varphi_C - i \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \sin \varphi_C$$

$$= \begin{pmatrix} \cos \varphi_C & -\sin \varphi_C \\ \sin \varphi_C & \cos \varphi_C \end{pmatrix}$$

*Example 2:*  
*C or Y*  
*rotation*

OBJECTIVE: Evaluate and (*most important!*) *visualize* matrix-exponent solutions.

$$|\Psi(t)\rangle = e^{-i\mathbf{H}\cdot t} |\Psi(0)\rangle$$

Hamilton generalized Euler's expansion  $e^{-i\Omega t} = \cos \Omega t - i \sin \Omega t$  so matrix exponential becomes powerful.

$$e^{-i\mathbf{H}\cdot t} = e^{-i \begin{pmatrix} A & B-iC \\ B+iC & D \end{pmatrix} \cdot t} = e^{-i \frac{A-D}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \cdot t} e^{-iB \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \cdot t} e^{-iC \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \cdot t} e^{-i \frac{A+D}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \cdot t}$$

$$= e^{-i\sigma \cdot \Omega \cdot t/2} e^{-i\Omega_0 \cdot t} \text{ where: } \Theta = \Omega \cdot t = \begin{pmatrix} \Omega_A \\ \Omega_B \\ \Omega_C \end{pmatrix} \cdot t = \begin{pmatrix} A-D \\ 2B \\ 2C \end{pmatrix} \cdot t \text{ and: } \Omega_0 = \frac{A+D}{2}$$

*ABCD Time evolution operator*

Symmetry relations make spinors  $\sigma_X$ ,  $\sigma_Y$ , and  $\sigma_Z$  or quaternions  $\mathbf{i} = -i\sigma_X$ ,  $\mathbf{j} = -i\sigma_Y$ , and  $\mathbf{k} = -i\sigma_Z$  powerful.

*3D crank vector*  $\vec{\Theta} = \vec{\Omega} \cdot t$  and *spin operator*  $\mathbf{S}$  defines *3D ABC*-rotation with ratio  $\frac{1}{2}$  or 2 between  $\Theta_a$  and  $\varphi_a = \frac{1}{2} \Theta_a$  or between  $\mathbf{S}$  and  $\sigma = 2\mathbf{S}$ .

$$e^{-i\sigma \cdot \vec{\varphi}} = e^{-i\sigma \cdot \vec{\Theta}/2} = e^{-i\mathbf{S} \cdot \vec{\Theta}} = \mathbf{1} \cos \frac{\Theta}{2} - i (\sigma \cdot \hat{\Theta}) \sin \frac{\Theta}{2} = \begin{pmatrix} \cos \frac{\Theta}{2} - i \hat{\Theta}_A \sin \frac{\Theta}{2} & (-i \hat{\Theta}_B - \hat{\Theta}_C) \sin \frac{\Theta}{2} \\ (-i \hat{\Theta}_B + \hat{\Theta}_C) \sin \frac{\Theta}{2} & \cos \frac{\Theta}{2} + i \hat{\Theta}_A \sin \frac{\Theta}{2} \end{pmatrix}$$

*Example 3:*  
Any  $\Theta = \Omega t$ -axial rotation

*2D angle:*  $\varphi = \frac{1}{2} \Theta$

*3D Crank vector:*  $\vec{\Theta} = \Theta \hat{\Theta} = 2\varphi_a \hat{\mathbf{a}} = 2\vec{\varphi}$

*2D spin matrix:*  $\mathbf{S} = \frac{1}{2} \sigma$

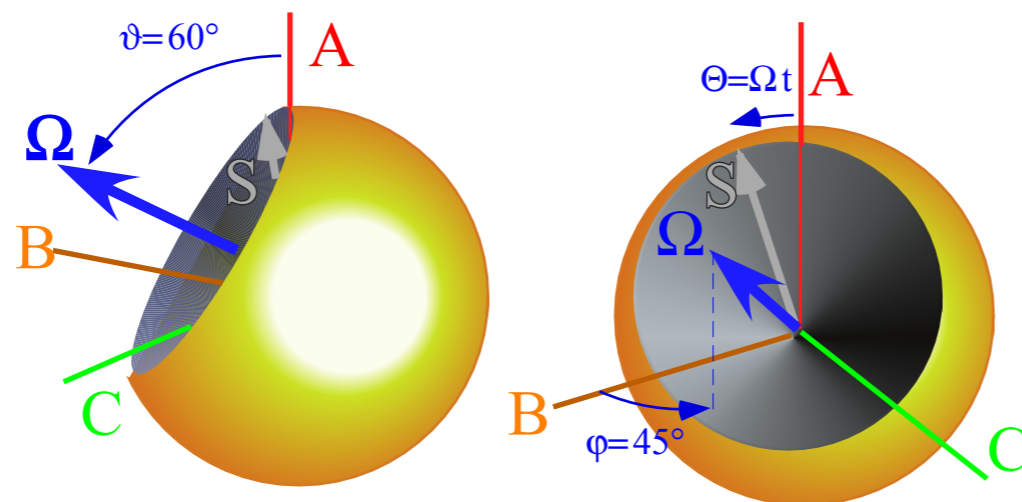


Fig. 3.4.2 Two views of Hamilton crank vector  $\Omega(\varphi, \vartheta)$  whirling Stokes state vector  $\mathbf{S}$  in *ABC*-space.



# The “mysterious” factors of 2 (or 1/2): 2D Spinors vs 3D Vector space

3D vector  $\hat{a}$  defines a combination  $\sigma_a = a_A \sigma_A + a_B \sigma_B + a_C \sigma_C$  of operators  $\sigma_A, \sigma_B, \sigma_C$ .

These may be rotated by 2-by-2  $\sigma_a$  matrices acting *twice*, fore and aft<sup>-1</sup>

The result is rotation by *twice* the 2D angle  $\varphi_a$ .

$$\begin{aligned}
 & \mathbf{R}(\varphi_C) \cdot \sigma_A \cdot \mathbf{R}^{-1}(\varphi_C) & \mathbf{R}(\varphi_C) \cdot \sigma_B \cdot \mathbf{R}^{-1}(\varphi_C) \\
 = & \begin{pmatrix} \cos \varphi_C & -\sin \varphi_C \\ \sin \varphi_C & \cos \varphi_C \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \cos \varphi_C & \sin \varphi_C \\ -\sin \varphi_C & \cos \varphi_C \end{pmatrix} & = \begin{pmatrix} \cos \varphi_C & -\sin \varphi_C \\ \sin \varphi_C & \cos \varphi_C \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \cos \varphi_C & \sin \varphi_C \\ -\sin \varphi_C & \cos \varphi_C \end{pmatrix} \\
 = & \begin{pmatrix} \cos^2 \varphi_C - \sin^2 \varphi_C & 2 \sin \varphi_C \cos \varphi_C \\ 2 \sin \varphi_C \cos \varphi_C & \sin^2 \varphi_C - \cos^2 \varphi_C \end{pmatrix} & = \begin{pmatrix} -2 \sin \varphi_C \cos \varphi_C & \cos^2 \varphi_C - \sin^2 \varphi_C \\ \cos^2 \varphi_C - \sin^2 \varphi_C & 2 \sin \varphi_C \cos \varphi_C \end{pmatrix} \\
 = & \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \cos 2\varphi_C + \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \sin 2\varphi_C & = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \sin 2\varphi_C + \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \cos 2\varphi_C \\
 = & \sigma_A \cos 2\varphi_C + \sigma_B \sin 2\varphi_C & = -\sigma_A \sin 2\varphi_C + \sigma_B \cos 2\varphi_C
 \end{aligned}$$

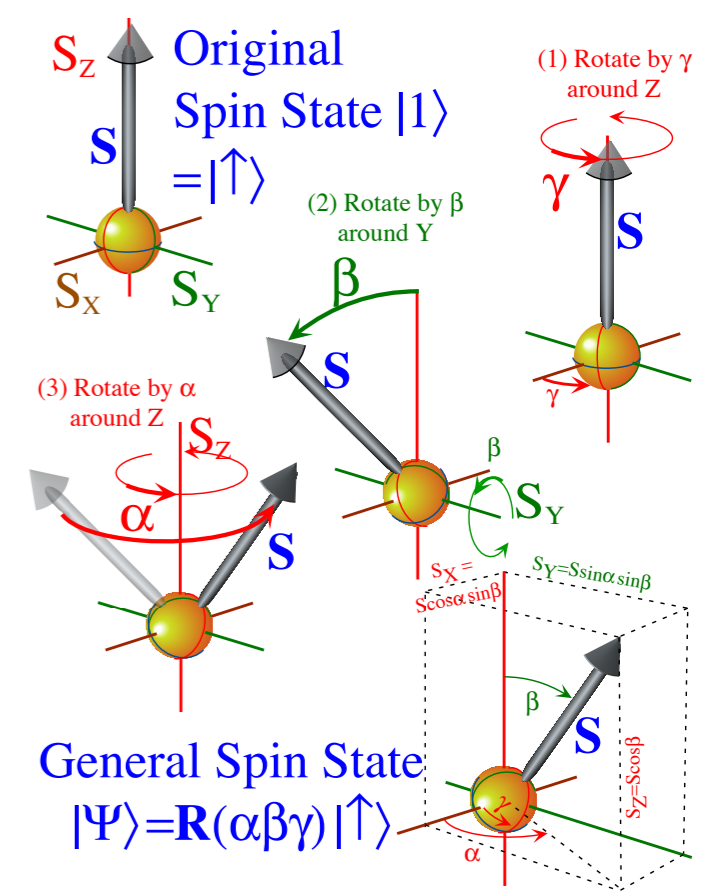
3D *Stokes vector components*  $S_a$  define polarization ellipses, 2D HO orbits, and spin- $\frac{1}{2}$  states.

Each point  $\{E_1, E_2\}$  in complex 2D oscillator space or in analogous to  $\Psi$ -space given by 2D array:  $\begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \begin{pmatrix} x_1 + ip_1 \\ x_2 + ip_2 \end{pmatrix}$  maps to real 3D spin vector  $(S_A, S_B, S_C)$  in that “points” to a particular state of polarization.

$$\text{Asymmetry } S_A = \frac{1}{2} \langle a | \sigma_A | a \rangle = \frac{1}{2} \begin{pmatrix} a_1^* & a_2^* \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \frac{1}{2} [a_1^* a_1 - a_2^* a_2] = \frac{1}{2} [x_1^2 + p_1^2 - x_2^2 - p_2^2]$$

$$\text{Balance } S_B = \frac{1}{2} \langle a | \sigma_B | a \rangle = \frac{1}{2} \begin{pmatrix} a_1^* & a_2^* \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \frac{1}{2} [a_1^* a_2 + a_2^* a_1] = [p_1 p_2 + x_1 x_2]$$

$$\text{Chirality } S_C = \frac{1}{2} \langle a | \sigma_C | a \rangle = \frac{1}{2} \begin{pmatrix} a_1^* & a_2^* \end{pmatrix} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \frac{-i}{2} [a_1^* a_2 - a_2^* a_1] = [x_1 p_2 - x_2 p_1]$$





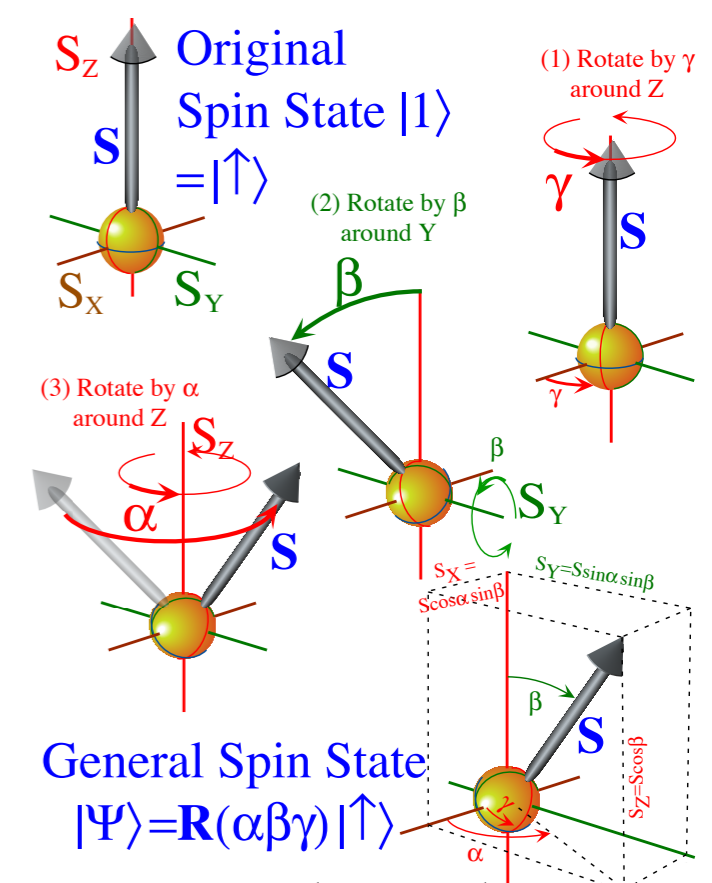
# The "mysterious" factors of 2 (or 1/2): 2D Spinors vs 3D Vector space

3D vector  $\hat{a}$  defines a combination  $\sigma_a = a_A \sigma_A + a_B \sigma_B + a_C \sigma_C$  of operators  $\sigma_A, \sigma_B, \sigma_C$ .

These may be rotated by 2-by-2  $\sigma_a$  matrices acting *twice*, fore and aft<sup>-1</sup>

The result is rotation by *twice* the 2D angle  $\varphi_a$ .

$$\begin{aligned} & \mathbf{R}(\varphi_C) \cdot \sigma_A \cdot \mathbf{R}^{-1}(\varphi_C) & \mathbf{R}(\varphi_C) \cdot \sigma_B \cdot \mathbf{R}^{-1}(\varphi_C) \\ = & \begin{pmatrix} \cos \varphi_C & -\sin \varphi_C \\ \sin \varphi_C & \cos \varphi_C \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \cos \varphi_C & \sin \varphi_C \\ -\sin \varphi_C & \cos \varphi_C \end{pmatrix} & = \begin{pmatrix} \cos \varphi_C & -\sin \varphi_C \\ \sin \varphi_C & \cos \varphi_C \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \cos \varphi_C & \sin \varphi_C \\ -\sin \varphi_C & \cos \varphi_C \end{pmatrix} \\ = & \begin{pmatrix} \cos^2 \varphi_C - \sin^2 \varphi_C & 2 \sin \varphi_C \cos \varphi_C \\ 2 \sin \varphi_C \cos \varphi_C & \sin^2 \varphi_C - \cos^2 \varphi_C \end{pmatrix} & = \begin{pmatrix} -2 \sin \varphi_C \cos \varphi_C & \cos^2 \varphi_C - \sin^2 \varphi_C \\ \cos^2 \varphi_C - \sin^2 \varphi_C & 2 \sin \varphi_C \cos \varphi_C \end{pmatrix} \\ = & \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \cos 2\varphi_C + \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \sin 2\varphi_C & = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \sin 2\varphi_C + \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \cos 2\varphi_C \\ = & \sigma_A \cos 2\varphi_C + \sigma_B \sin 2\varphi_C & = -\sigma_A \sin 2\varphi_C + \sigma_B \cos 2\varphi_C \end{aligned}$$



3D *Stokes vector components*  $S_a$  define polarization ellipses, 2D HO orbits, and spin- $\frac{1}{2}$  states.

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$$\begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \begin{pmatrix} x_1 + ip_1 \\ x_2 + ip_2 \end{pmatrix} = A \begin{pmatrix} e^{-i\frac{\alpha}{2}} \cos \frac{\beta}{2} \\ e^{i\frac{\alpha}{2}} \sin \frac{\beta}{2} \end{pmatrix} e^{-i\frac{\gamma}{2}}$$

$$\text{Asymmetry } S_A = \frac{1}{2} \langle a | \sigma_A | a \rangle = \frac{1}{2} \begin{pmatrix} a_1^* & a_2^* \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \frac{1}{2} [a_1^* a_1 - a_2^* a_2] = \frac{1}{2} [x_1^2 + p_1^2 - x_2^2 - p_2^2] = \frac{I}{2} [\cos^2 \frac{\beta}{2} - \sin^2 \frac{\beta}{2}] = \frac{I}{2} \cos \beta$$

$$\text{Balance } S_B = \frac{1}{2} \langle a | \sigma_B | a \rangle = \frac{1}{2} \begin{pmatrix} a_1^* & a_2^* \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \frac{1}{2} [a_1^* a_2 + a_2^* a_1] = [p_1 p_2 + x_1 x_2] = I \left[ -\sin \frac{\alpha + \gamma}{2} \sin \frac{\alpha - \gamma}{2} + \cos \frac{\alpha + \gamma}{2} \cos \frac{\alpha - \gamma}{2} \right] \cos \frac{\beta}{2} \sin \frac{\beta}{2} = \frac{I}{2} \cos \alpha \sin \beta$$

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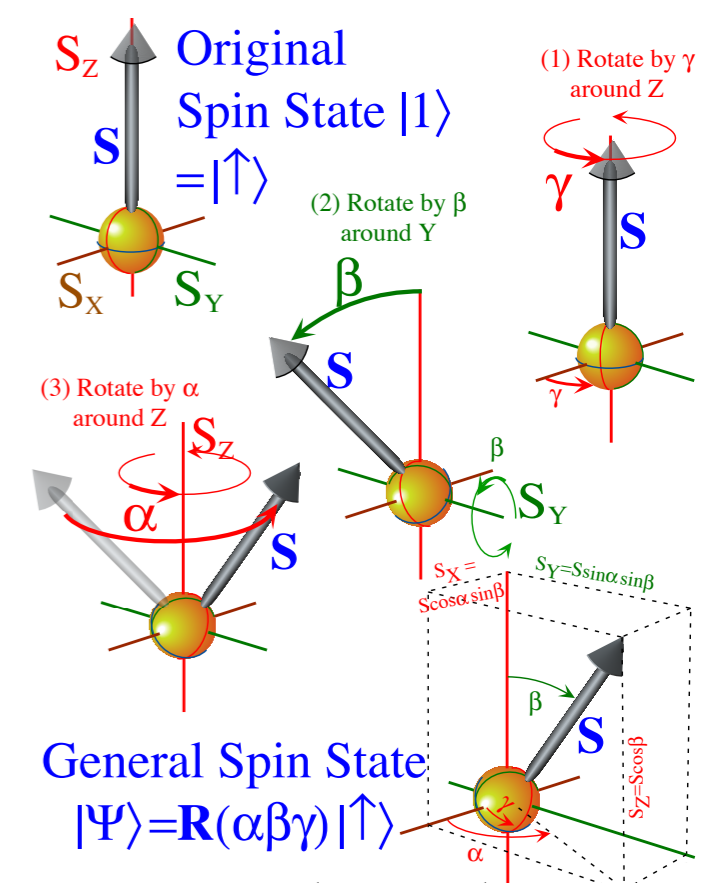
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$$\begin{aligned} & \mathbf{R}(\varphi_C) \cdot \sigma_A \cdot \mathbf{R}^{-1}(\varphi_C) & \mathbf{R}(\varphi_C) \cdot \sigma_B \cdot \mathbf{R}^{-1}(\varphi_C) \\ = & \begin{pmatrix} \cos \varphi_C & -\sin \varphi_C \\ \sin \varphi_C & \cos \varphi_C \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \cos \varphi_C & \sin \varphi_C \\ -\sin \varphi_C & \cos \varphi_C \end{pmatrix} & = \begin{pmatrix} \cos \varphi_C & -\sin \varphi_C \\ \sin \varphi_C & \cos \varphi_C \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \cos \varphi_C & \sin \varphi_C \\ -\sin \varphi_C & \cos \varphi_C \end{pmatrix} \\ = & \begin{pmatrix} \cos^2 \varphi_C - \sin^2 \varphi_C & 2 \sin \varphi_C \cos \varphi_C \\ 2 \sin \varphi_C \cos \varphi_C & \sin^2 \varphi_C - \cos^2 \varphi_C \end{pmatrix} & = \begin{pmatrix} -2 \sin \varphi_C \cos \varphi_C & \cos^2 \varphi_C - \sin^2 \varphi_C \\ \cos^2 \varphi_C - \sin^2 \varphi_C & 2 \sin \varphi_C \cos \varphi_C \end{pmatrix} \\ = & \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \cos 2\varphi_C + \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \sin 2\varphi_C & = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \sin 2\varphi_C + \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \cos 2\varphi_C \\ = & \sigma_A \cos 2\varphi_C + \sigma_B \sin 2\varphi_C & = -\sigma_A \sin 2\varphi_C + \sigma_B \cos 2\varphi_C \end{aligned}$$



3D *Stokes vector components*  $S_a$  define polarization ellipses, 2D HO orbits, and spin- $\frac{1}{2}$  states.

Each point  $\{E_1, E_2\}$  in complex 2D oscillator space or in analogous to  $\Psi$ -space given by 2D array: maps to real 3D spin vector  $(S_A, S_B, S_C)$  in that "points" to a particular state of polarization.

$$\begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \begin{pmatrix} x_1 + ip_1 \\ x_2 + ip_2 \end{pmatrix} = A \begin{pmatrix} e^{-i\frac{\alpha}{2}} \cos \frac{\beta}{2} \\ e^{i\frac{\alpha}{2}} \sin \frac{\beta}{2} \end{pmatrix} e^{-i\frac{\gamma}{2}}$$

$$\text{Asymmetry } S_A = \frac{1}{2} \langle a | \sigma_A | a \rangle = \frac{1}{2} \begin{pmatrix} a_1^* & a_2^* \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \frac{1}{2} [a_1^* a_1 - a_2^* a_2] = \frac{1}{2} [x_1^2 + p_1^2 - x_2^2 - p_2^2] = \frac{I}{2} [\cos^2 \frac{\beta}{2} - \sin^2 \frac{\beta}{2}] = \frac{I}{2} \cos \beta$$

$$\text{Balance } S_B = \frac{1}{2} \langle a | \sigma_B | a \rangle = \frac{1}{2} \begin{pmatrix} a_1^* & a_2^* \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \frac{1}{2} [a_1^* a_2 + a_2^* a_1] = [p_1 p_2 + x_1 x_2] = I \left[ -\sin \frac{\alpha+\gamma}{2} \sin \frac{\alpha-\gamma}{2} + \cos \frac{\alpha+\gamma}{2} \cos \frac{\alpha-\gamma}{2} \right] \cos \frac{\beta}{2} \sin \frac{\beta}{2} = \frac{I}{2} \cos \alpha \sin \beta$$

$$\text{Chirality } S_C = \frac{1}{2} \langle a | \sigma_C | a \rangle = \frac{1}{2} \begin{pmatrix} a_1^* & a_2^* \end{pmatrix} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \frac{-i}{2} [a_1^* a_2 - a_2^* a_1] = [x_1 p_2 - x_2 p_1] = I \left[ \cos \frac{\alpha+\gamma}{2} \sin \frac{\alpha-\gamma}{2} - \cos \frac{\alpha-\gamma}{2} \sin \frac{\alpha+\gamma}{2} \right] \cos \frac{\beta}{2} \sin \frac{\beta}{2} = \frac{I}{2} \sin \alpha \sin \beta$$

*Euler's definition of state*  $|a\rangle$  of rotation using spin-1/2 matrix  $\mathbf{R}(\alpha/2)$  and  $\mathbf{R}(\beta/2)$

$$\begin{aligned} |a\rangle &= \mathbf{R}(\alpha\beta\gamma)|1\rangle \\ &= \mathbf{R}[\alpha \text{ about } Z] \cdot \mathbf{R}[\beta \text{ about } Y] \cdot \mathbf{R}[\gamma \text{ about } Z] |\uparrow\rangle \\ &= \begin{pmatrix} e^{-i\frac{\alpha}{2}} & 0 \\ 0 & e^{i\frac{\alpha}{2}} \end{pmatrix} \begin{pmatrix} \cos \frac{\beta}{2} & -\sin \frac{\beta}{2} \\ \sin \frac{\beta}{2} & \cos \frac{\beta}{2} \end{pmatrix} \begin{pmatrix} e^{-i\frac{\gamma}{2}} & 0 \\ 0 & e^{i\frac{\gamma}{2}} \end{pmatrix} \begin{pmatrix} A \\ 0 \end{pmatrix} = \begin{pmatrix} e^{-i\frac{\alpha+\gamma}{2}} \cos \frac{\beta}{2} & -e^{-i\frac{\alpha-\gamma}{2}} \sin \frac{\beta}{2} \\ e^{i\frac{\alpha-\gamma}{2}} \sin \frac{\beta}{2} & e^{i\frac{\alpha+\gamma}{2}} \cos \frac{\beta}{2} \end{pmatrix} \begin{pmatrix} A \\ 0 \end{pmatrix} = A \begin{pmatrix} e^{-i\frac{\alpha}{2}} \cos \frac{\beta}{2} \\ e^{i\frac{\alpha}{2}} \sin \frac{\beta}{2} \end{pmatrix} e^{-i\frac{\gamma}{2}} = \begin{pmatrix} x_1 + ip_1 \\ x_2 + ip_2 \end{pmatrix} \end{aligned}$$

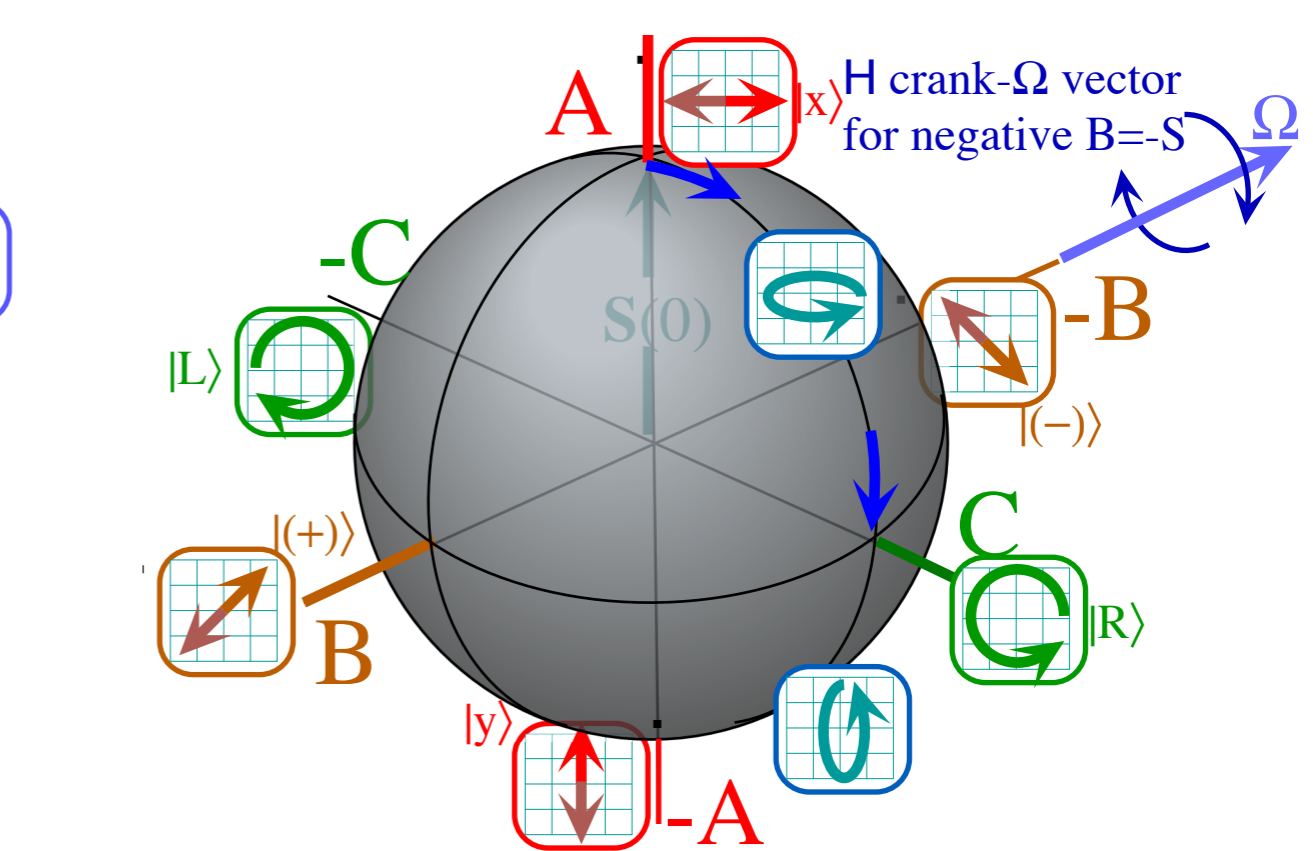
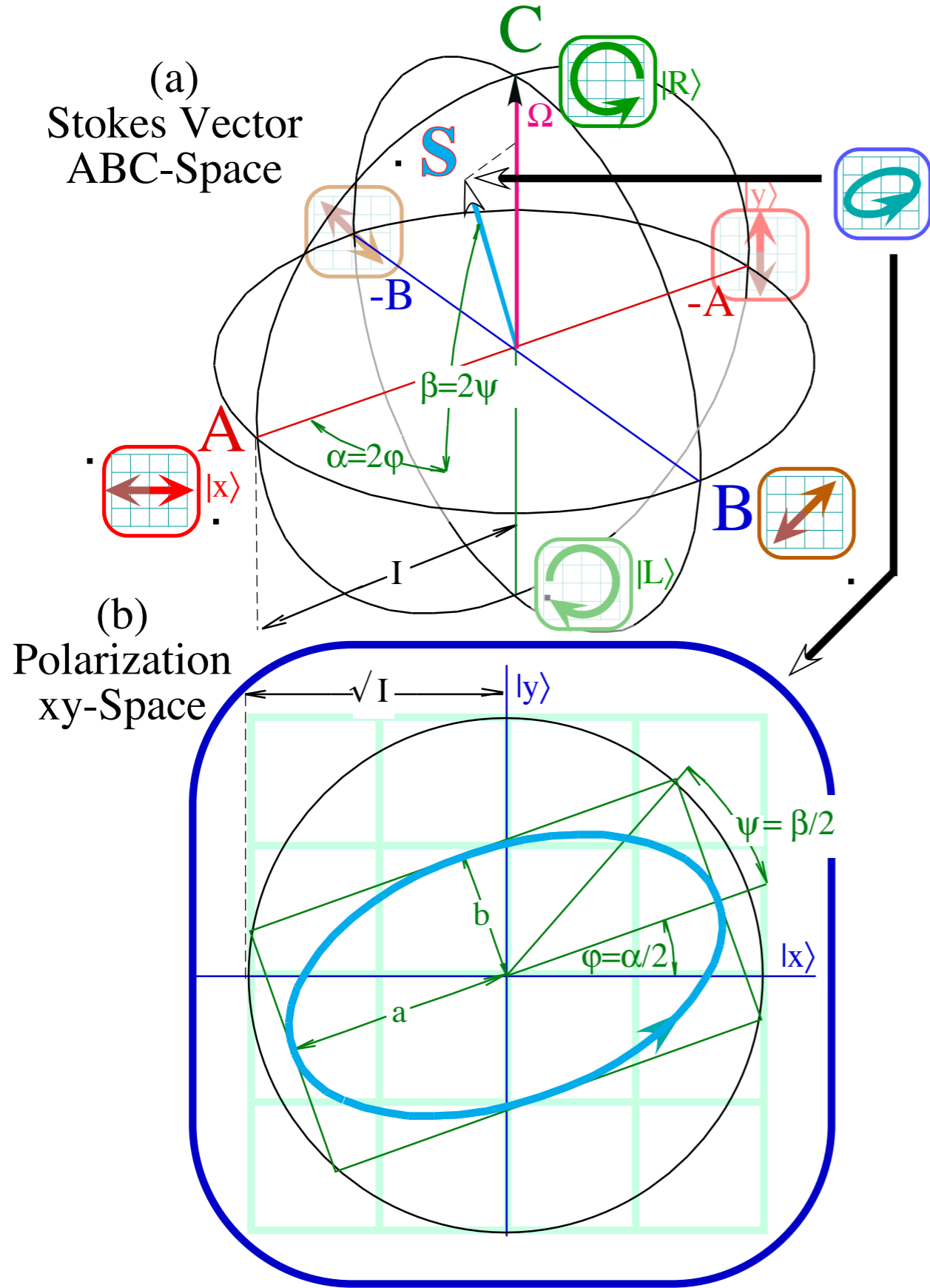


Fig. 3.4.6 Time evolution of a B-type beat. S-vector rotates from A to C to -A to -C and back to A.

Fig. 3.4.5 Polarization variables (a) Stokes real-vector space (ABC) (b) Complex xy-spinor-space ( $x_1, x_2$ ).

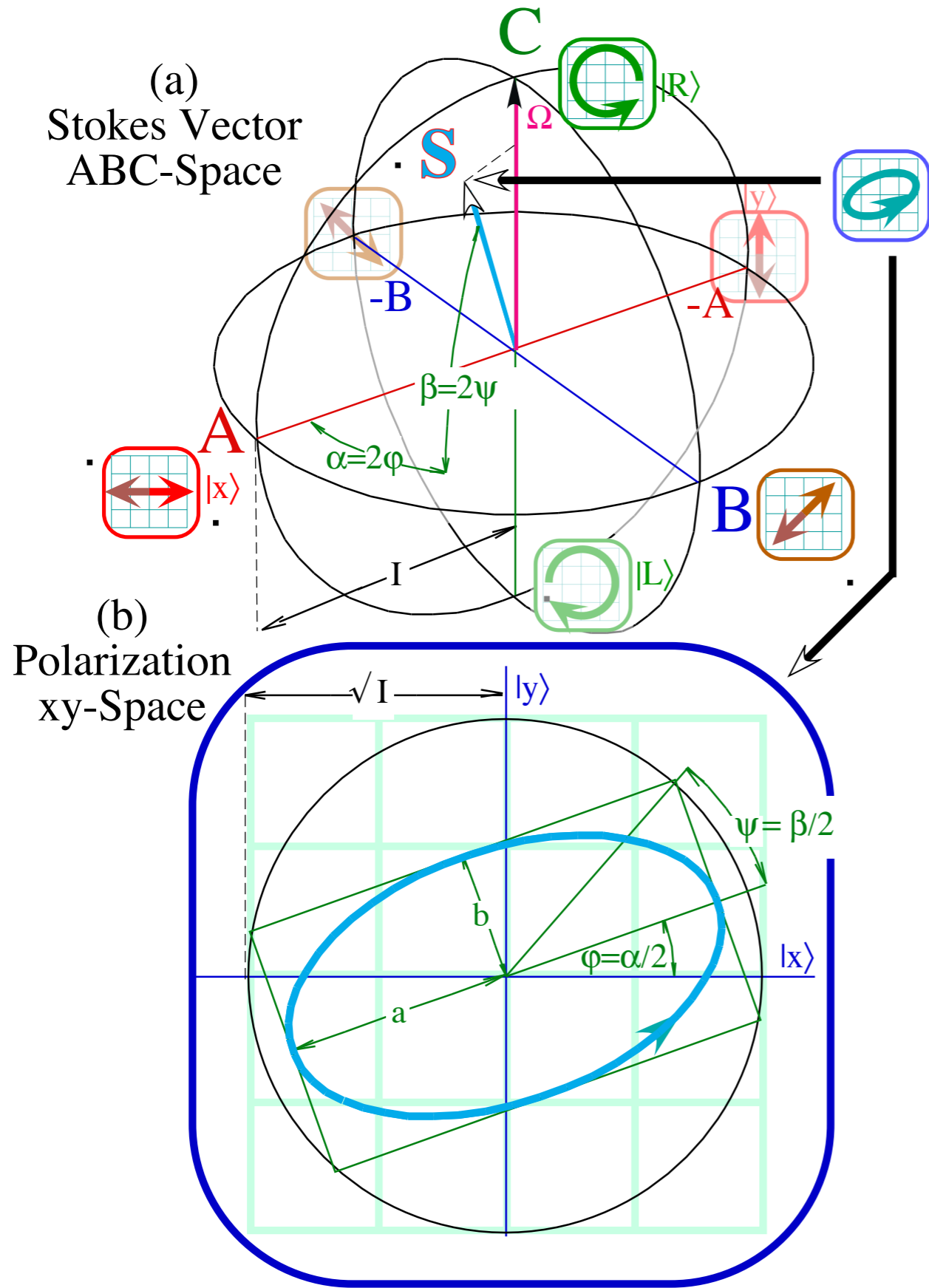


Fig. 3.4.5 Polarization variables (a) Stokes real-vector space (ABC) (b) Complex xy-spinor-space ( $x_1, x_2$ ).

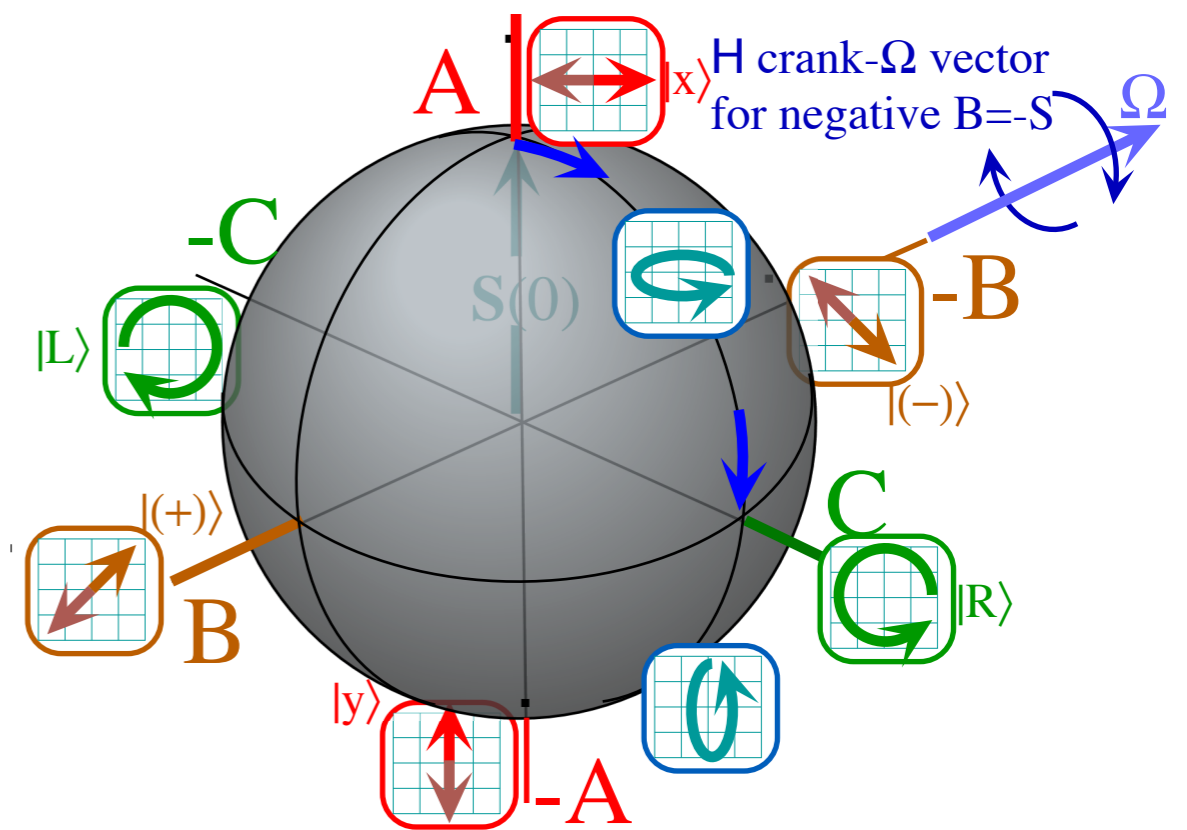


Fig. 3.4.6 Time evolution of a B-type beat. S-vector rotates from A to C to -A to -C and back to A.

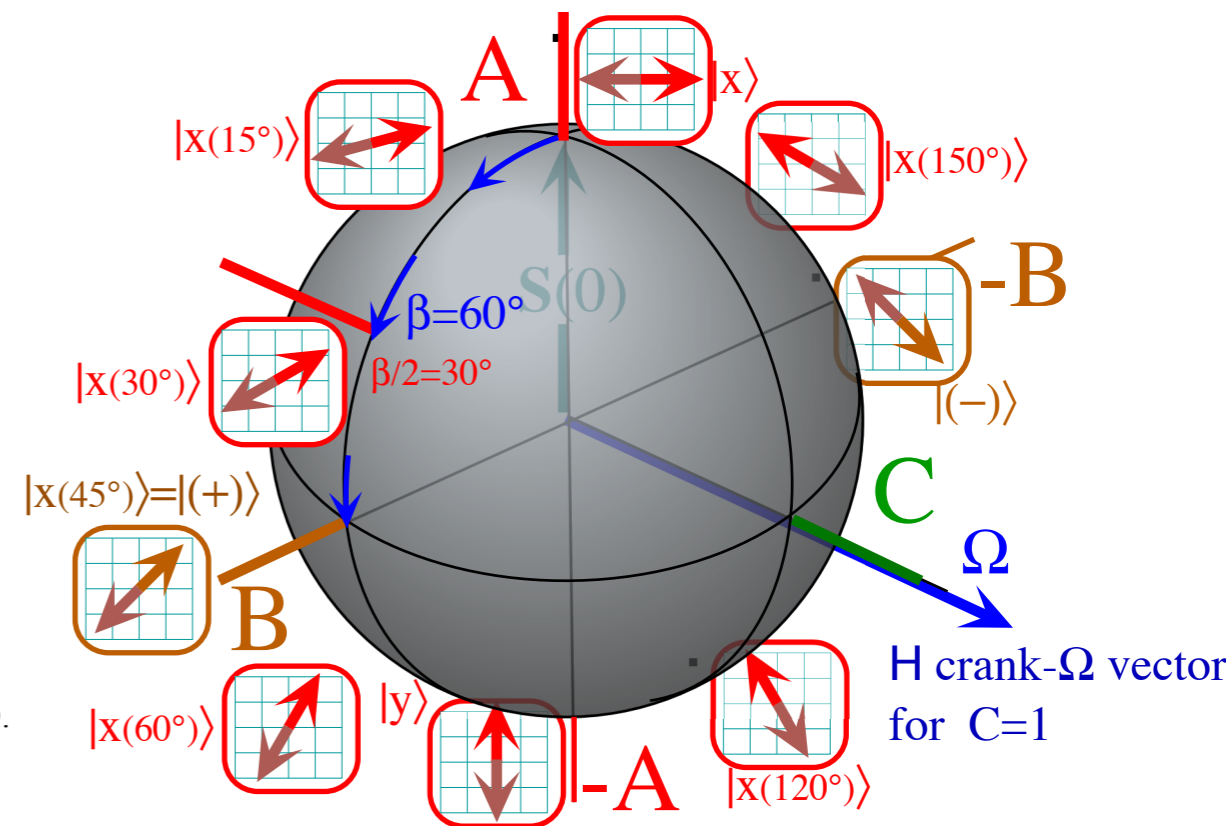


Fig. 3.4.7 Time evolution of a C-type beat. S-vector rotates from A to B to -A to -B and back to A.